



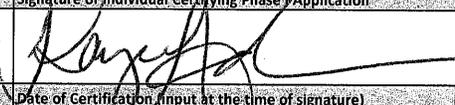
Office of the  
State Superintendent of Education

### Part 1: Local Educational Agency Information

Full Legal Name of Local Educational Agency DISTRICT OF COLUMBIA PUBLIC SCHOOLS (DCPS)	Name of LEA Executive Director (Public Charter Schools Only)
Full Address of Local Educational Agency 1200 First Street, NE; Washington, DC 20002	Email Address of LEA Executive Director (Public Charter Schools Only)
Main Telephone Number of Local Educational Agency 202-299-3357	Telephone Number of LEA Executive Director (Public Charter Schools Only)
Name of Primary LEA Contact for Consolidated Application Programs Jocelyn Basley	Name of Additional LEA Contact for Consolidated Application Programs Janet P Silverthorne
Position Title of Primary LEA Contact for Consolidated Application Programs Deputy Chief, Office of Federal Programs and Grants	Position Title of Additional LEA Contact for Consolidated Application Programs Grant Development
Email Address of Primary LEA Contact for Consolidated Application Programs Jocelyn.Basley@dc.gov	Email Address of Additional LEA Contact for Consolidated Application Programs Janet.Silverthorne@dc.gov
Telephone Number of Primary LEA Contact for Consolidated Application Programs 202-442-5155	Telephone Number of Additional LEA Contact for Consolidated Application Programs 202-727-7218

### Part 2: LEA Certification of Assurances

All assurances and certifications included in Phase I of the application represent requirements associated with the federal grant programs included in the Consolidated Application. By signing below, the Applicant certifies that it has read and agrees to all assurances and certifications.

Name of Individual Certifying Phase I Application (Board Chairperson or Chancellor only) Kaya Henderson	Signature of Individual Certifying Phase I Application 
Title of Individual Certifying Phase I Application (Board Chairperson or Chancellor only) Chancellor	Date of Certification (input at the time of signature) 06/26/2012

### Part 3: Additional LEA Certification

The Phase II application must be returned to the Office of the State Superintendent in accordance with the established deadlines. The Superintendent will allow a minimum of 90 days for completion. By signing below, the Applicant certifies that it will submit an approvable Phase II application in accordance with the deadlines or risk the denial of funding under this Phase I application.

Name of Individual Certifying Phase I Application (Board Chairperson or Chancellor only) Kaya Henderson	Signature of Individual Certifying Phase I Application 
Title of Individual Certifying Phase I Application (Board Chairperson or Chancellor only) Chancellor	Date of Certification (input at the time of signature) 06/26/2012

SUBMIT BOTH A MICROSOFT EXCEL VERSION OF THIS FULL WORKBOOK AND A SIGNED, SCANNED OF THIS PAGE BY EMAIL TO [CON.APP@DC.GOV](mailto:CON.APP@DC.GOV).

### OSSE Use Only

Date Assurances Received:	
Date Assurances Complete (first date for obligation):	

**Part 1: ESEA Section 9306 Assurances**

For each of the assurances listed below, check the gray box to indicate that the Local Educational Agency has read and agrees to comply with the assurance.

**The Local Educational Agency (LEA) hereby assures the State Education Agency (SEA) that for each program the LEA undertakes and receives funds for:**

Assurance #1

Each such program will be administered in accordance with all applicable statutes, regulations, program plans, and applications;

Assurance #2

The control of funds provided under each such program and title to property acquired with program funds will be in a public agency or in a nonprofit private agency, institution, organization, or Indian tribe, if the law authorizing the program provides for assistance to those entities, and the public agency, nonprofit private agency, institution, or organization, or Indian tribe will administer the funds and property to the required by the authorizing statutes;

Assurance #3

The applicant will adopt and use proper methods of administering each such program, including—  
o the enforcement of any obligations imposed by law on agencies, institutions, organizations, and other recipients responsible for out each program; and  
o the correction of deficiencies in program operations that are identified through audits, monitoring, or evaluation;

Assurance #4

The applicant will cooperate in carrying out any evaluation of each such program conducted by or for the State educational agency, the Secretary, or other Federal officials;

Assurance #5

The applicant will use such fiscal control and fund accounting procedures as will ensure proper disbursement of, and accounting for, Federal funds paid to the applicant under each such program;

Assurance #6

The applicant will—  
o submit such reports to the SEA (which shall make the reports available to the Mayor) and the Secretary of the U.S. Department of as the SEA and Secretary may require to enable the SEA and the Secretary to perform their duties under each such program; and  
o maintain such records, provide such information, and afford such access to the records as the SEA (after consultation with the Mayor) or the Secretary may reasonably require to carry out the SEA's or the Secretary's duties; and

Assurance #7

Before the full application is submitted, the applicant will afford a reasonable opportunity for public comment on the application and consider such comment.

**Part 2: Assurances for Homeless Children and Youth**

For each of the assurances listed below, check the gray box to indicate that the Local Educational Agency has read and agrees to comply with the assurance.

**The Local Educational Agency (LEA) hereby assures the State Education Agency (SEA) that programs will be conducted in accordance with the requirements of the Title X, Part C (42 U.S.C. § 11431 et seq.) including but not limited to ensuring that LEA will:**

Assurance #1	<input checked="" type="checkbox"/>	Appoint a McKinney-Vento liaison;
Assurance #2	<input checked="" type="checkbox"/>	Identify and facilitate the immediate enrollment of homeless children and youth;
Assurance #3	<input checked="" type="checkbox"/>	Inform homeless parents and students of their rights, in writing;
Assurance #4	<input checked="" type="checkbox"/>	Provide transportation to the school deemed in the best interests of eligible students, to the extent feasible;
Assurance #5	<input checked="" type="checkbox"/>	Provide access to educational and other services for homeless children and youth so they have the opportunity to meet the same challenging state performance standards to which all students are held;
Assurance #6	<input checked="" type="checkbox"/>	Review and revise policies that may act as barriers to the enrollment of homeless children and youth in the school, including policies related to transportation, immunization, proof of residency, birth certificates, guardianship, school records, and other documentation;
Assurance #7	<input checked="" type="checkbox"/>	Determine the particular school that is in the best interest of eligible homeless students to attend with compliance to the wishes of the parent(s) or guardians, to the extent feasible;
Assurance #8	<input checked="" type="checkbox"/>	Ensure that all homeless children and youth receive Title I services, as applicable;
Assurance #9	<input checked="" type="checkbox"/>	Coordinate with local social service agencies and other agencies or programs providing services to homeless children or youth and their families to minimize educational disruption for children who are homeless; and
Assurance #10	<input checked="" type="checkbox"/>	Ensure that all homeless children and youth receive placement in appropriate programs, such as Special Education, gifted and talented, or English as a Second Language programs, as needed.

**Part 3: Other General Assurances**

For each of the assurances listed below, check the gray box to indicate that the Local Educational Agency has read and agrees to comply with the assurance.

**The Local Educational Agency (LEA) hereby assures the State Education Agency (SEA) that for each program the LEA undertakes and receives funds for:**

requirements:

Charges for personnel services (payroll) comply with federal requirements, including requirements for proper documentation of payroll records and appropriate time and effort reporting. Salaries and wages of employees chargeable to more than one grant program or cost applicable, will be supported by appropriate time distribution records;

Funds will only be used to supplement, and not supplant State/local funds (for Title III, Part A, this includes required assessments);

The LEA will obligate 85 percent of its Title I, Part A (including all SWP funds) and Title III, Part A funds by September 30, and report expenditures to OSSE by November 15, per section 1127 (a) of the ESEA;

The LEA will obligate 38 percent of its Title I, Part A (including all SWP funds) and Title III, Part A funds by March 31, and will report expenditures to OSSE by April 30, per section 1126(c) of the ESEA;

The LEA will have financial management systems, procurement systems, and equipment and inventory management systems that enable the LEA to demonstrate compliance with federal grants management requirements, including the requirement that all expenditures made with federal funds are necessary, reasonable, allocable, and legal; and

The LEA has read and will comply with:

- o Certification Regarding Lobbying: <http://www.ed.gov/fund/grant/apply/appforms/ed80-013.doc>
- o Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion -- Lower Tier Covered Transactions: <http://www.ed.gov/fund/grant/apply/appforms/ed80-014.doc>
- o Assurances, Non-Construction Programs: <http://www.ed.gov/fund/grant/apply/appforms/sf424b.doc>
- o Disclosure of Lobbying Activities: <http://www.ed.gov/fund/grant/apply/appforms/sfill.doc>
- o Government wide requirements for Drug-Free workplace: <http://www.ed.gov/policy/fund/reg/edgarReg/edite-part84a.html>

**CERTIFICATION REGARDING CONSTITUTIONALLY PROTECTED PRAYER IN PUBLIC ELEMENTARY AND SECONDARY SCHOOLS**

As a condition of receiving ESEA funds, certification is required by Section 9524 of the Elementary and Secondary Education Act (ESEA) of 1965, as amended by the No Child Left Behind Act of 2001. Guidance issued February 7, 2003 by the U. S. Department of Education regarding this policy may be accessed on the web at [http://www.ed.gov/policy/gen/guid/religionandschools/prayer\\_guidance.html](http://www.ed.gov/policy/gen/guid/religionandschools/prayer_guidance.html). The LEA certifies to the SEA that no policy prevents or otherwise denies participation in constitutionally protected prayer in public schools.

Assurance #1

Assurance #2

Assurance #3

Assurance #4

Assurance #5

Assurance #6

Assurance #7

Assurance #8

**Assurances: ESEA Title I, Part A: Improving Basic Programs Operated by Local Educational Agencies**

For each of the assurances listed below, check the GRAY box to indicate that the Local Educational Agency has read and agrees to comply with the assurance.

**The Local Educational Agency (LEA) hereby assures the State Education Agency (SEA) that the LEA will:**

Assurance #1	<input checked="" type="checkbox"/>
Assurance #2	<input checked="" type="checkbox"/>
Assurance #3	<input checked="" type="checkbox"/>
Assurance #4	<input checked="" type="checkbox"/>
Assurance #5	<input checked="" type="checkbox"/>
Assurance #6	<input checked="" type="checkbox"/>
Assurance #7	<input checked="" type="checkbox"/>
Assurance #8	<input checked="" type="checkbox"/>
Assurance #9	<input checked="" type="checkbox"/>

Participate, if selected, in the State National Assessment of Educational Progress carried out under section 411(b)(2) of the National Education Statistics Act of 1994;

Inform eligible schools of schoolwide program authority and the ability to consolidate funds from Federal, State, and local sources;

Implement schoolwide program or targeted assistance requirements consistent with the statute, providing technical assistance to support schoolwide programs, so that each school can make adequate yearly progress toward meeting the State student academic achievement standards;

Fulfill all school improvement responsibilities under section 1116, including taking required actions pertaining to public school choice and supplemental educational services, corrective action, and restructuring (as applicable);

Take into account the experience of model programs for the educationally disadvantaged, and the findings of relevant scientifically based research indicating that services may be most effective if focused on students in the earliest grades at schools that receive funds under this

In the case of a local educational agency that chooses to use funds under this part to provide early childhood development services to low-income children below the age of compulsory school attendance, ensure that such services comply with the performance standards under section 641A(a) of the Head Start Act;

Implement the parental involvement requirements under sections 1118 and the requirements pertaining to professional development and the qualifications of teachers and paraprofessionals under section 1119;

Coordinate and collaborate, to the extent feasible and necessary as determined by the local educational agency, with the State educational agency and other agencies providing services to children, youth, and families with respect to a school in school improvement, corrective or restructuring under section 1116 if such a school requests assistance from the local educational agency in addressing major factors that significantly affected student achievement at the school;

Ensure, through incentives for voluntary transfers, the provision of professional development, recruitment programs, or other effective strategies, that low-income students and minority students are not taught at higher rates than other students by unqualified, out-of-field, or inexperienced teachers;

Assurance #10	X	Use the results of the student academic assessments required under section 1111(b)(3), and other measures or indicators available to the agency, to annually review progress to ensure that all students will meet the State's proficient level of achievement on the State academic assessments described in section 1111(b)(3) within 12 years from the end of the 2001-2002 school year;
Assurance #11	X	Comply with the requirement in section 1111(b)(3)(c) to include all limited English proficient children enrolled in the LEA in the state academic assessment.
Assurance #12	X	Cooperate with the SEA to ensure that results from the academic assessments required under section 1111(b)(3) will be provided to parents and teachers as soon as is practicably possible after the test is taken, in an understandable and uniform format and, to the extent practicable, provided in a language that the parents can understand;
Assurance #13	X	Ensure that all requirements in section 1111(h)(6) regarding the Parents Right-to-Know are carried out in a manner consistent with the
Assurance #14	X	Assist each school/campus served by the agency and assisted under Title I, Part A in developing or identifying examples of high-quality, effective curricula consistent with section 1111(b)(8)(D); and
Assurance #15	X	Ensure that Title I, Part A is coordinated with other ESEA programs, the Individuals with Disabilities Education Act, the Carl D. Perkins Vocational and Technical Education Act of 1998, the McKinney-Vento Homeless Assistance Act and other acts as appropriate.
Assurance #16	X	(DCPS ONLY) Provide services to eligible children attending private elementary schools and secondary schools in accordance with section 1120, and perform timely and meaningful consultation with private school officials regarding such services;
Assurance #17	X	(DCPS ONLY) Demonstrate annually, according to procedures set by the SEA, that it complies with the comparability requirements of section 1120A(c) to use State/local funds to provide services in Title I schools that, taken as a whole, are at least comparable to the services provided in schools that are not receiving Title I funds.

### Assurances: ESEA Title II, Part A: Teacher and Principal Training and Recruiting Fund

For each of the assurances listed below, check the gray box to indicate that the Local Educational Agency has read and agrees to comply with the assurance.

#### The Local Educational Agency (LEA) hereby assures the State Education Agency (SEA) that the LEA will:

Assurance #1

Target funds to schools within the jurisdiction of the local educational agency that -  
o have the lowest proportion of highly qualified teachers;  
o have the largest average class size; or  
o are identified for school improvement under section 1116(b);

Assurance #2

Develop an improvement plan, if the LEA has failed to make progress on the annual measurable objectives under Section 1119 (regarding the qualifications of teachers) that will enable the LEA to make progress on the annual measurable objectives;

Assurance #3

Ensure that funds used to reduce-class size will only be used to hire teachers that meet the state's definition of highly qualified;

Assurance #4

Meet the state's annual reporting requirements for data collection and the Employee Educator Report specifying each teacher's highly qualified credentials for each core academic subject class the teacher teaches;

Assurance #5

Ensure that all teachers employed by the LEA have been notified of their "highly qualified" status with regard to each core academic subject class the teacher teaches;

Assurance #6

Aggressively reduce the number of teachers that do not meet the highly qualified requirements, including implementing "highly qualified" compliance plans for teachers needing to meet the Section 1119 requirements;

Assurance #7

Comply with the "parents-right-to-know" requirements, including providing timely notice that a parent's child has been taught for four or more consecutive weeks by a teacher who is not highly qualified;

Assurance #8

Assign all teachers to teach a grade level(s) and subject(s) for which the teacher has been deemed highly qualified;

Assurance #9

Establish procedures for developing individual teacher plans that provide for clear and direct communication between the LEA and individual teachers regarding their highly qualified status;

Assurance #10

If the LEA is in Title II agreement status, implement policies and procedures that prohibit the use of Title I, Part A funds to pay the salary of any new paraprofessionals, except under certain limited cases as described in Section 2141(c)(2);

Assurance #11	<input checked="" type="checkbox"/>	Implement policies and procedures that prohibit the use of Title II, Part A funds to pay the salary of any teacher who does not meet the NCLB and state definitions of "highly qualified" teacher; and
Assurance #12	<input checked="" type="checkbox"/>	

## Assurances: ESEA Title III, Part A: English Language Acquisition, Language Enhancement, and Academic Achievement Act

For each of the assurances listed below, check the gray box to indicate that the Local Educational Agency has read and agrees to comply with the assurance.

### The Local Educational Agency (LEA) hereby assures the State Education Agency (SEA) that the LEA will:

Assurance #1	<input checked="" type="checkbox"/>	Comply with parental notification requirements specified in section 3302, including the parental notification requirement regarding the identification of students for participation in a language instruction educational program;
Assurance #2	<input checked="" type="checkbox"/>	Assess annually the English proficiency of all children with limited English proficiency participating in programs funded under Title III;
Assurance #3	<input checked="" type="checkbox"/>	Base its use of Title III, Part A funds on scientifically based research on teaching limited English proficient (LEP) children;
Assurance #4	<input checked="" type="checkbox"/>	Ensure that programs will enable children to speak, read, write, and comprehend the English language and meet challenging State academic content and student academic achievement standards;
Assurance #5	<input checked="" type="checkbox"/>	Ensure the LEA is not in violation of any State law, including State constitutional law, regarding the education of LEP children;
Assurance #6	<input checked="" type="checkbox"/>	Consult with teachers, researchers, school administrators, and parents, and, if appropriate, with education-related community groups and non-profit organizations, and institutions of higher education in developing the plan;
Assurance #7	<input checked="" type="checkbox"/>	Coordinate its programs and activities under Title III with its other programs and activities under the Elementary and Secondary Education Act and other Acts, as appropriate, including state/locally funded programs and activities for LEP students and their teachers or parents;
Assurance #8	<input checked="" type="checkbox"/>	Use Title III funds in ways that will build such recipient's capacity to continue to offer high-quality language instruction programs that assist limited English proficient children in meeting challenging state academic content standards once assistance under Title III, Part A is no longer available;
Assurance #9	<input checked="" type="checkbox"/>	Certify that all teachers in any language instruction educational program for LEP children that is, or will be, funded under Title III are fluent in English, including having written and oral communication skills;
Assurance #10	<input checked="" type="checkbox"/>	Comply with the evaluation requirements of Title III, Part A; and
Assurance #11	<input checked="" type="checkbox"/>	Use no more than 2 percent of allocated funds for the cost of administering Title III activities.

## Assurances: ESEA Title IV, Part A: Safe and Drug Free Schools and Communities Act

For each of the assurances listed below, check the gray box to indicate that the local educational agency has read and agrees to comply with the assurance.

### The Local Educational Agency (LEA) hereby assures the State Education Agency (SEA) that the LEA will:

Assurance #1	<input checked="" type="checkbox"/>	Develop its application through timely and meaningful consultation with state and local government representatives, representatives of schools to be served, teachers and other staff, parents, students, community-based organizations, and others with relevant and demonstrated expertise in drug and violence prevention activities (such as medical, mental health, and law enforcement professionals);
Assurance #2	<input checked="" type="checkbox"/>	Consult with such representatives and organizations on an ongoing basis in order to seek advice regarding how best to coordinate the activities under this subpart with other related strategies, programs, and activities being conducted in the community;
Assurance #3	<input checked="" type="checkbox"/>	Ensure that the activities or programs to be funded comply with the principles of effectiveness described in section 4115(a) and foster a safe and drug-free learning environment that supports academic achievement;
Assurance #4	<input checked="" type="checkbox"/>	Ensure that drug and violence prevention programs supported under this subpart convey a clear and consistent message that acts of violence and the illegal use of drugs are wrong and harmful;
Assurance #5	<input checked="" type="checkbox"/>	Have a plan for keeping schools safe and drug-free that includes: <ul style="list-style-type: none"><li>o Appropriate and effective school discipline policies that prohibit disorderly conduct, the illegal possession of weapons, and the illegal use, possession, distribution, and sale of tobacco, alcohol, and other drugs by students;</li><li>o Security procedures at school and while students are on the way to and from school;</li><li>o Prevention activities that are designed to create and maintain safe, disciplined, and drug-free environments;</li><li>o A crisis management plan for responding to violent or traumatic incidents on school grounds;</li><li>o A code of conduct policy that clearly states the responsibilities of students, teachers, and administrators in maintaining a classroom environment that allows a teacher to communicate effectively with all students in the class, allows all students in the class to learn, and has consequences that are fair and developmentally appropriate; and</li></ul>
Assurance #6	<input checked="" type="checkbox"/>	Submit reports to OSSE (including disciplinary, violence, and firearm data), which OSSE shall make available to the Mayor and Secretary, that enable the State educational agency and the Secretary to perform their duties under each such program.