

REQUEST FOR APPLICATIONS

RFA # GD0—SACSO—23

District of Columbia

Office of the State Superintendent of Education (OSSE)



FY 2023 Scholarships for Opportunity and Results (SOAR) Act Third Party Charter Support Organization Grants

Request for Application (RFA) Release Date

Friday, April 28, 2023

Pre-Application Conference Webinars (Mandatory)

Tuesday, May 9 and Wednesday, May 10, 2023

Notice of Intent to Apply Deadline (Mandatory)

Friday, May 19, 2023

Application Submission Deadline

Monday, June 12, 2023

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Checklist for Application

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- The applicant submitted a Notice of Intent to Apply on or before **Friday, May 19, 2023**. The Notice of Intent to Apply form can be found in Appendix A.
- The applicant attended one of the two **mandatory** pre-application webinars. Please see Request for Application (RFA) Section 2.2 for webinar dates, times, and registration.
- The applicant completed all steps required by the RFA and submitted a complete application through OSSE’s Enterprise Grants Management System (EGMS) that contains all the required information and attachments. Please see RFA Section 3 for an overview of the application components.

Please note: All required application elements must be submitted by entering information directly into the required sections in EGMS. Unless otherwise stated in the application, information submitted via attachment will be considered as supplemental materials only.

- The application adheres to the directions and criteria of each section of this RFA.
- The application was submitted in EGMS by **3:00 p.m. EST on Monday, June 12, 2023**.

PLEASE NOTE

Applications are due by 3:00 p.m. EST on Monday, June 12, 2023.

The application deadline will be strictly enforced. Applications submitted at or after 3:01 p.m. EST on Monday, June 12, 2023, will not be reviewed.

All applications must be submitted through EGMS. For more information about EGMS, please visit osse.dc.gov/publication/enterprise-grants-management-system-egms-user-manual.

This application will be open for 30 business days. Please avoid last minute technical submission issues by submitting early. OSSE strongly recommends submitting your application at least one day early to ensure that avoidable technical issues do not cause you to miss the submission deadline.

Request for Applications

RFA # GDO-SACSO-23

Section 1: General Information

1.1 Introduction

Overview of the SOAR Act

As a part of a three-sector federal funding approach, the Scholarships for Opportunity and Results (SOAR) Act, Pub. L. 112-10, 125 Stat. 199, as amended, is a federal law that authorizes funding for District of Columbia (DC) public charter schools or DC-based nonprofit organizations “to improve and expand quality public charter schools in the District of Columbia” §3004(b)(2). SOAR Act funds for DC charter schools are provided to OSSE by the US Department of Education (USED) as a grant. The SOAR Act also provides funding for District of Columbia Public Schools (DCPS) and the Opportunity Scholarship Program (OSP) for DC students to attend private schools.

1.2 Purpose of Funds

Through this RFA, OSSE is soliciting proposals from eligible applicants for the following grant program:

- **Grants to Third Party Charter Support Organizations**
 - The purpose of this funding program is to provide support to DC-based nonprofit organizations with a demonstrated history of success working with DC charter schools on projects that are designed to: 1) have a direct and rapid (within two years) impact on the academic achievement and outcomes for public charter school students across multiple charter LEAs; or 2) designed to impact student outcomes at multiple charter LEAs indirectly by enhancing the organizational capacity of charter LEAs to operate as fiscally and operationally sound nonprofit organizations and schools.
 - The theory of action for each project must be supported by data and research, when available, or other evidence of success.
 - Funding is available for two types of assistance to charter schools, **direct assistance** projects and **indirect assistance** projects. Applicants will be asked to identify which category of funding they seek but the final determination of the category under which an application will be considered will be made by the external review panel.
 - **Direct Assistance Projects:**
 - Must be research-based and designed to have a direct and rapid (within two years) impact on the academic achievement and outcomes for public charter school students;

- Must be designed to improve student outcomes across multiple charter LEAs through **direct service to students** and/or **direct professional development** and support for teachers and instructional leaders; and
- Must be tailored to meet the specific needs of each partner charter LEA. The project's theory of action must be supported by LEA-specific data.
- **Indirect Assistance Projects:**
 - Must be designed to impact student outcomes at multiple charter LEAs indirectly by **enhancing the organizational capacity of charter LEAs to operate as fiscally and operationally sound nonprofit organizations and schools**; and
 - May include projects that provide professional development for charter LEA leaders or board members in order to improve the charter school's infrastructure or capacity related to the administration and use of student data, fiscal management, board governance, and overall school administration.

1.3 Source of Funding

The U.S. Department of Education, through the DC School Choice Incentive Program, 84.370C, Public Law 108-199, III, DC School Incentive Act of 2003; as reauthorized by the Scholarships for Opportunity and Results Act, effective April 15, 2011 (Pub. L. 112-10; 125 Stat. 201), as amended (D.C. Code 38-1853.01 et seq). Federal Award Identification Number (FAIN) S370C220001.

1.4 Requirements of Funding

This grant award is made subject to the terms, conditions, and provisions of the General Education Provisions Act (PL 90-247), as amended and codified at 20 U.S.C 1221 et seq. This grant is also subject to the regulations in the Education Department's General Administrative Regulations (EDGAR) in 34 CFR Parts 75, 77, 81, 82, 84 and 99, the Uniform Administrative Requirements, Cost Principles and Audit Requirements in 2 CFR Part 200 as adopted at 2 CFR Part 3474, and the Non-procurement Debarment and Suspension regulations in 2 CFR Parts 180 and 3485.

Further, in addition to the requirements of this RFA, the assurances made in the submitted application, and the terms of the Grant Award Notice (GAN) issued by OSSE to the subgrantee, a key condition for receiving these funds is compliance with activities necessary to carry out a mandated evaluation of the Opportunity Scholarship Program (OSP), as specified in Section 3011(a)(1) of the SOAR Act. Pursuant to this section, the U.S. Department of Education's Institute of Education Sciences (IES) is required to evaluate annually the performance of

students who applied to the OSP (Sec. 3009). Because some OSP applicants will be enrolled in public charter schools, it will be necessary for IES and its evaluation contractor to collect data on and from public charter school campuses once each year during the applicable grant period. Please be advised that noncompliance of the organization with the terms and conditions stated in the SOAR Act GAN may result in the withholding of SOAR Act funds administered by OSSE.

1.5 Funds Available and Funding Period

At least \$1,750,000.00 is available for awards through this RFA. OSSE will provide up to \$400,000.00 per “direct assistance” award and up to \$300,000.00 per “indirect assistance” award. Awards are limited to one per organization. The duration of the award period is two years beginning on the grant award date. The awarded entity will need to complete a continuation application within EGMS each fiscal year.

Continuation of awards in year two is contingent upon:

- Availability of funds;
- Recipient’s implementation and/or operation of the program as submitted in the application;
- Recipient’s demonstration that substantial progress has been made toward meeting the objectives set forth in the approved application, based on ongoing monitoring and review of the recipient’s reports;
- Compliance with District and federal laws, regulations, and guidance; and
- Appropriate expenditure of funds throughout each grant award period.

1.6 Eligibility

Eligible Third Party Charter Support Organizations:

- Must be a DC-based nonprofit organization with a demonstrated history of success working with DC charter schools on similar projects;
- Must serve under this grant program two or more charter LEAs within DC for the entirety of the award period;
- Must submit a letter of recommendation from a DC charter school with direct experience working with the organization as well as a list of all schools and LEAs to which the organization has provided education-based services; and
- Must submit a letter of commitment from two distinct charter LEAs within DC that the organization intends to serve with funds from this program.

1.7 Permissible Use of Funds

The funds associated with this RFA are available strictly on a reimbursement basis and may only be used for allowable grant project expenditures during the grant period as follows:

- All costs must:
 - Meet requirements of permissible use of federal funds within EDGAR 34 CFR Part 75, 2 CFR Part 200 as adopted in 2 CFR Part 3474, 2 CFR Part 180 as adopted in 2 CFR 3485;
 - Align with and support the project(s) described in the organization's application; and
 - Meet the specific needs of each partner charter LEA as identified within the needs assessment(s) conducted.

All grant project budgets will be reviewed by a review panel, as well as OSSE staff, to ensure that planned expenditures are allowable and are appropriate, reasonable, and necessary to support the grant objectives.

Section 2: Schedule

2.1 RFA Release

The release date of the RFA is Friday, April 28, 2023. The RFA is available online at <https://osse.dc.gov/service/scholarships-opportunity-and-results-soar-act-grant>.

2.2 Pre-Application Webinar (Mandatory)

Pre-application webinars will be held on the following dates:

- Tuesday, May 9, 2023, from 10:00 a.m. to 11:00 a.m.
- Wednesday, May 10, 2023, from 3:00 p.m. to 4:00 p.m.

To register for a webinar, visit <https://register.gotowebinar.com/rt/5361590762070597467>.

Each interested organization must have at least one representative attend one of the above webinars in order to meet the attendance requirement for this grant. Webinar attendance is tracked electronically through registration and online attendance.

2.3 Intent to Apply (Mandatory)

All eligible entities seeking to receive funding under this RFA must submit a Notice of Intent to Apply (Appendix A), signed by an authorized official of the organization, via email to opcsfs.funding@dc.gov on or before Friday, May 19, 2023.

2.4 Contact Person(s)

Applicants are advised that the following OSSE staff members are the authorized contact persons for this grant competition:

- Brianna Griffin, Manager, Office of Federal Programs and Strategic Funding (Brianna.Griffin@dc.gov)

2.5 Applications Due

Applications are due Monday, June 12, 2023, by 3:00 p.m. EST and must be submitted through EGMS. **Applicants are encouraged to submit applications early to avoid any technical difficulties. OSSE strongly encourages submitting applications at least one day early to ensure that avoidable technical issues do not cause you to miss the submission deadline. Applicants must agree to EGMS Central Data Assurances for 2023 prior to submitting a grant application.**

2.6 Updates

Information and updates regarding the grant competitions will be emailed to all potential applicants that submit a Notice of Intent to Apply and attend a pre-application webinar specific to this grant.

2.7 Awards Announcement

Awards will be announced via EGMS, email, and the OSSE website. OSSE will disseminate grant award notifications following the awards announcement.

Section 3: Application

3.1 Application Content

The application in EGMS contains all of the following sections or "tabs." Unless noted, each section must be completed as instructed in the system:

- Section 1 – Overview Pages (*informational; nothing to complete*)
- Section 2 – Contact Information
- Section 3 – Brief Project Description
- Section 4 – Project Details
 - List of Partners
 - Dissemination Plan for Best Practices
 - Awards or Recognition
 - List of Current/Previous Partner Schools
 - Demonstration of Success in the District of Columbia
 - Upload Letters of Recommendation and Commitment
- Section 5 – Main Application
 - Data Sources
 - Project Need
 - Project Description
 - Theory of Action
 - Equitable Access and Participation
 - Logic Model

- Section 6 – Budget
 - Budget Overview
 - Summary of Planned Expenditures
 - Salaries and Benefits
 - Professional Services
 - Equipment
 - Supplies and Materials
 - Other Objects
 - Budget Summary
- Section 7 – Supporting Documentation
- Section 8 – Assurances
 - Program-Specific Assurances
 - Assurances Agreement Summary
- Section 9 – Submit (*application is not complete until it is submitted through this tab*)
- Section 10 – Application Print (*hard copies of applications may be printed through this tab*)
- Section 11 – Application History (*the history of who has accessed and modified the application may be viewed through this tab*)

Section 4: Scoring

4.1 Review Panel

The grants described in this RFA will be awarded competitively. A panel or panels of external reviewers will be convened to review, score, and rank each application. The review panel(s) will be composed of neutral, qualified, professional individuals selected for their expertise, knowledge, and/or related experiences. All external reviewers must sign a Conflict of Interest statement. The application will be scored against a rubric. The complete rubric can be found in EGMS for review. OSSE may convene any panel to conduct a facilitated discussion of the reviewers' scores and comments of a particular application. A reviewer is not required to change their scores or comments after a facilitated discussion. A facilitated discussion only provides an opportunity for reviewers to hear other panel members' reasoning for their scores and comments.

Upon completion of the panels' review, the panels shall make recommendations for awards based on the scoring rubric(s). The State Superintendent of Education, or his/her designee, will consider those recommendations but all final award decisions are left to the Superintendent's, or his/her designee's, discretion. Winning applicants may be required to make amendments to the Budget or other application sections to meet grant requirements. A denied applicant may file an appeal of OSSE's award determination within 30 days of receipt of award denial

notification on the grounds that OSSE violated a District or federal statute or regulation. Denied applicants may contact OSSE's program contact for information on the appeal process.

4.2 Priority

Funding priority may be given to proposals that meet either of the following priority areas:

- **Priority 1:** Improve educational outcomes for student with disabilities (including effective transition from IDEA Part C to IDEA Part B services, performance and growth on assessments, increasing graduation rates, and/or improving transitions to post-secondary education and the workforce) and reducing achievement gaps between students with disabilities and students without disabilities
- **Priority 2:** Reduce suspensions and student disciplinary actions and improve school climate; or effectively encourage student body diversity with respect to improving academic achievement for educationally disadvantaged students

The priority area(s) to be addressed by a proposed project should be clear from the content of the submitted application and supported by data and research. Reviewers will independently assess whether a proposed project met one of more of the priority areas, though OSSE will make all final determinations regarding the funding priorities.

OSSE may elect to fund priority projects at a higher percentage of amount requested than non-priority projects. In the event that there are more quality applications than available funds, OSSE may elect to fund priority projects instead of one or more nonpriority projects that received a higher score.

Section 5: Award Administration

5.1 Decision and Notifications of Awards

In order to be awarded a grant, organizations must establish eligibility by submitting an application to OSSE in accordance with the relevant program statute(s) and this RFA. Each awarded applicant will receive a Grant Award Notification (GAN) generated through OSSE's EGMS that will include the award amount, award agreement, terms and conditions of the award, and any supplemental information required. Once OSSE has fully approved the application and issued an official GAN, grantees may then receive payment for allowable expenditures for which obligation was made during the grant period. OSSE has implemented a reimbursement process for all grantees. Grant award payments are reimbursable on a monthly (and no later than quarterly) basis. Program costs must be paid by the grantee to the payee prior to requesting reimbursement; it is not sufficient for costs merely to be incurred. Compliance with programmatic and fiscal implementation and reporting will be considered in

paying reimbursement requests. To receive reimbursement for grant program expenditures, OSSE grantees must complete and submit a reimbursement request electronically using EGMS.

5.2 Audits

At any time, or times, before final payment and during the required record retention period, the District and/or the federal government may audit the applicant's expenditure statements and source documentation.

5.3 Monitoring and Reporting

The recipient will cooperate with any evaluation of the program, such as providing OSSE requested data and access to records and pertinent staff. OSSE's Division of Systems and Support, K-12 uses a coordinated, risk-based monitoring approach. The type of monitoring that the recipient will receive (desktop or on-site) will vary depending on its designation as a high, medium, or low risk recipient. Please review the risk-based monitoring guidance for more information: <https://osse.dc.gov/publication/risk-based-monitoring-guidance>.

Monitoring efforts are designed to determine the recipient's level of compliance with federal and/or District requirements and identify specifically whether the grantee's operational, financial and management systems and practices are adequate to account for program funds in accordance with federal and/or District requirements. Failure to maintain compliance with such requirements may result in payment suspension, disallowance of costs or termination of the grant.

The recipient shall be required to cooperate with all requirements and information requests by OSSE relating to evaluation of the program and the collection of data, information, and reporting on outcomes regarding the program and activities carried out with grant funds. The recipient shall be required to reply and acknowledge OSSE's information requests within 48 hours and to provide requested information within ten (10) business days.

5.4 Confidentiality

Except as otherwise provided by local or federal law, no recipient of the grant shall use or reveal any research, statistical information, or personally identifiable information furnished by OSSE for any person or for any purpose other than that for which such information was obtained in accordance with the OSSE program funded. Any identifiable personal information, and any copy of such information, shall be immune from legal process and shall not, without the written consent of the person identified in the information, be admitted as evidence or used for any purpose in any action, suit, or judicial, legislative, or administrative proceeding. The grantee will protect any personally identifiable information (PII) received in administering

the grant and follow all applicable laws regarding the protection and use of the PII. Before disclosing PII to any other party, the grantee must first receive approval from OSSE.

5.5 Nondiscrimination in the Delivery of Services

The recipient shall comply with the District of Columbia Human Rights Act of 1977, as amended, (D.C. Official Code § 2-1401.01 *et seq.*) which prohibits discrimination based on race, color, religion, national origin, sex, age, marital status, personal appearance, sexual orientation, gender identity or expression, familial status, family responsibilities, matriculation, political affiliation, genetic information, source of income, disability, status as a victim of an interfamily offense, place of residence or business, or credit information.

5.6 Conflict of Interest

All grant recipients shall ensure that no individual in a decision-making capacity will engage in any activity, including participation in the selection of a vendor, the administration of an award, or an activity supported by award funds, if a conflict of interest would be involved. A conflict of interest or appearance of a conflict of interest would arise when the individual, any member of the individual's immediate family, the individual's partner; or an organization that employs, or is about to employ, any of the aforementioned, has a financial or personal interest in the firm or organization selected for a contract.

5.7 Vaccination Requirements

The grant recipient must comply with all District laws and regulations and Mayor's Orders regarding District COVID vaccination requirements.

5.8 Terms and Conditions

- Funding for this award is contingent on available funds. The RFA does not commit OSSE to make an award.
- OSSE reserves the right to accept or deny any or all applications if OSSE determines it is in the best interest of OSSE to do so. OSSE shall notify the applicant if it rejects that applicant's proposal. OSSE may suspend or terminate an outstanding RFA pursuant to its own grant making rule(s) or any applicable federal or local regulation or requirement.
- OSSE reserves the right to issue addenda and/or amendments subsequent to the issuance of the RFA, or to rescind the RFA.
- OSSE shall not be liable for any costs incurred in the preparation of applications in response to the RFA. Applicant agrees that all costs incurred in developing the application are the applicant's sole responsibility.

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- OSSE may conduct pre-award on-site visits to verify information submitted in the application and to determine if the applicant's facilities are appropriate for the services intended.
- OSSE may enter into negotiations with an applicant and adopt a firm funding amount or other revision of the applicant's proposal that may result from negotiations.
- OSSE shall provide the citations to the statute and implementing regulations that authorize the grant or sub grant; all applicable federal and District regulations; payment provisions identifying how the grantee will be paid for performing under the award; reporting requirements, including programmatic, financial and any special reports required by OSSE; and compliance conditions that must be met by the grantee.
- If there are any conflicts between the terms and conditions of the RFA and any applicable federal or local law or regulation, or any ambiguity related thereto, then the provisions of the applicable law or regulation shall control and it shall be the responsibility of the applicant to ensure compliance.

Appendix A

Official Intent to Apply Notification
Must be received by OSSE no later than May 19, 2023
PDF Submission Preferred

TO: OSSE Office of Public Charter School Financing and Support
opcsfs.funding@dc.gov

FROM: _____
(Organization Name)

RE: Intent to Apply for FY23 Scholarships for Opportunities and Results (SOAR) Act
Third Party Charter Support Organization Grant

Organization Name: _____

Organization Address: _____

Contact Person: _____

Contact Person Telephone: _____

Contact Person Email: _____

I understand that the deadline for these grant applications is 3:00 p.m. EST on Monday, June 12, 2023, and that late applications will not be reviewed.

Signature: _____

Date: _____

Appendix B

Scoring Rubric

Project Details (Maximum 16 points)

Criterion	Score Not Assignable	Limited/Weak	Fair/Good	Strong/Exceptional
The applicant identified two or more partner LEAs and uploaded signed letters of commitment from each partner	No – 0	Yes – 4		
The applicant described a specific plan to disseminate best practices to the overall charter sector.	0	2	4	6
The applicant demonstrated a history of success working with public charter schools on similar projects	0	2	4	6

Needs Assessment (Maximum 18 points)

Criterion	Score Not Assignable	Limited/Weak	Fair/Good	Strong/Exceptional
For each public charter school involved in the project, the applicant demonstrated a clear and specific need for the proposed project	0	4	8	12
The applicant used recent quantitative and/or qualitative data to substantiate the need	0	2	4	6

Project Description (Maximum 24 points)

Criterion	Score Not Assignable	Limited/Weak	Fair/Good	Strong/Exceptional
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The applicant described the proposed project and activities in detail	0	4	8	12
The applicant demonstrated how the proposed project will address the need(s) identified in the needs assessment	0	2	4	6
The applicant included a management plan to ensure success of the project that outlined roles and responsibilities for each party	0	2	4	6

Theory of Action (Maximum 12 points)

Criterion	Score Not Assignable	Limited/Weak	Fair/Good	Strong/Exceptional
The theory of action statement uses sound logic to demonstrate how and why the project will be successful in improving academic outcomes for students	0	2	4	6
The theory of action is based on credible research and/or evidence	0	2	4	6

Equitable Access and Participation (Maximum 6 points)

Criterion	Score Not Assignable	Limited/Weak	Fair/Good	Strong/Exceptional
The applicant clearly described how it will ensure equitable access to and participation in grant-funded activities	0	2	4	6

Logic Model (Maximum 12 points)

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Criterion	Score Not Assignable	Limited/Weak	Fair/Good	Strong/Exceptional
All elements of the logic model (SMART goal, inputs, outputs, outcomes, assumptions, and external factors) are well-defined	0	2	4	6
The logic model clearly demonstrates how the proposed project will produce the intended academic outcomes for students	0	2	4	6

Budget (Maximum 12 points)

Criterion	Score Not Assignable	Limited/Weak	Fair/Good	Strong/Exceptional
The budget describes specific costs that clearly align with the proposed project	0	2	4	6
Budgeted costs are reasonable and necessary for the proposed project	0	2	4	6

Funding Priority (Not Scored)

Select all that apply.

Will the proposed project:

- Improve educational outcomes for student with disabilities (including effective transition from IDEA Part C to IDEA Part B services, performance and growth on assessments, increasing graduation rates, and/or improving transitions to post-secondary education and the workforce) and reduce achievement gaps between students with disabilities and students without disabilities?
- Reduce suspensions and student disciplinary actions and improve school climate, or effectively encourage student body diversity with respect to improving academic achievement for educationally disadvantaged students?

Appendix C

Program Specific Assurances

As the duly authorized representative of the applicant, I certify that the applicant, if awarded the grant:

- Will comply with activities necessary to carry out a mandated evaluation of the Opportunity Scholarship Program (OSP) as specified in Section 3011(a)(1) of the SOAR Act;
- Will submit semi-annual narrative reports describing the implementation of the proposal as well as its impact on educational outcomes;
- Will expend all funds by the end of the grant period;
- Will submit at least one reimbursement request per quarter in which the grant recipient makes an expenditure;
- Acknowledges and agrees that the completion of this application, or the approval to fund an application, will not be deemed to be a binding obligation of OSSE until such time as the Grant Award Notification (GAN) is delivered to the recipient;
- Recognizes that OSSE approval of an application does not relieve the public charter school of its responsibility to comply with all applicable requirements;
- Will administer each program covered by the application in accordance with all applicable statutes, regulations, program plans and applications;
- Will use fiscal control and fund accounting procedures that will ensure proper disbursement of, and accounting for, federal funds paid to the grant recipient under each program;
- Will make reports to the state agency and to the Secretary as may reasonably be necessary to enable the state agency and the Secretary to perform their duties and that the grant recipient will maintain such records, including the records required under section 1232f of the General Education Provisions Act and provide access to those records, as the state agency or the Secretary deem necessary to perform their duties;
- Will provide reasonable opportunities for the participation by teachers, parents and other interested agencies, organizations and individuals in the planning for and operation of each program;
- Agrees that any application, evaluation, periodic program plan or report relating to each program will be made readily available to parents and other members of the general public;
- Agrees that none of the funds expended will be used to acquire equipment (including computer software) in any instance in which such acquisition results in a direct financial benefit to any organization representing the interests of the purchasing entity or its employees or any affiliate of such an organization; and

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- Will comply with all District laws, regulations, guidance and Mayor's Orders regarding District COVID-19 vaccination requirements, as applicable.

Acknowledgement Assurances

The recipient shall comply with all applicable District and Federal statutes and regulations as may be amended from time to time, including, but not necessarily limited to:

1. The Americans with Disabilities Act of 1990, Pub. L. 101-336, July 26, 1990, 104 Stat. 327 (42 U.S.C. § 12101 et seq.)
2. Rehabilitation Act of 1973, Pub. L. 93-112, Sept. 26, 1973, 87 Stat. 355 (29 U.S.C. § 701 et seq.)
3. The Hatch Act, Pub. L. 103-94 (5 U.S. Code § 7321 et seq.)
4. The Fair Labor Standards Act, Chap 676, 52 Stat, 1060 (29 U.S.C. § 201 et seq.)
5. The Clean Air Act Pub. L. 108-201, February 24, 2004, (42 U.S.C. Chap 85 et seq.)
6. The Hobbs Act (Anti-Corruption), Chap 537, 60 St. 420 (18 U.S.C. § 1951)
7. Equal Pay Act of 1963, Pub. L. 88-38, June 10, 1963, 77 Stat. 56 (29 U.S.C. § 201)
8. Age Discrimination Act of 1975, Pub. L. 94-135, Nov. 28, 1975, 89 Stat. 728 (42 U.S.C. § 6101 et seq.)
9. Age Discrimination in Employment Act, Pub. L. 90-202, Dec. 15, 1967, 81 Stat. 602 (29 U.S.C. § 621 et seq.)
10. Title IX of the Education Amendments of 1972, Pub. L. 92-318, June 23, 1972, 86 Stat. 235, (20 U.S.C. § 1001)
11. Immigration Reform and Control Act of 1986, Pub. L. 99-603, Nov. 6, 1986, 100 Stat. 3359, (8 U.S.C. § 1101)
12. Family Medical Leave Act of 1993, Pub. L. 103-3, Feb. 5, 1993, 107 Stat. 6 (5 U.S.C. § 6381 et seq.)
13. Assurance of Nondiscrimination and Equal Opportunity (29 CFR § 34.20)
14. District of Columbia Human Rights Act of 1977 (D.C. Official Code § 2-1401.01)
15. Title VI of the Civil Rights Act of 1964
16. District of Columbia Language Access Act of 2004, DC Law 15 -414, (D.C. Official Code § 2-1931 et seq.)
17. Lobbying Disclosure Act of 1995, Pub. L. 104-65, Dec 19, 1995, 109 Stat. 693, (31 U.S.C. § 1352)
18. The Occupational Safety and Health Act of 1970, Pub. L. 91-596, Dec. 29, 1970, 84 Stat. 1590 (26 U.S.C. 651 et. seq.)
19. Drug Free Workplace Act of 1988, Pub. L. 100-690, 102 Stat. 4304 (41 U.S.C. § 701 et seq.)
20. District of Columbia Language Access Act of 2004, D.C. Law 15-414, D.C. Official Code § 2-1931 et seq.)
21. Fair Criminal Record Screening Amendment Act of 2014, D.C. Official Code § 24-1351
22. Byrd Anti-Lobbying Amendment (31 U.S.C. § 1352)
23. Uniform Relocation Assistance and Real Property Acquisition Act of 1970, as amended (P.L. No. 91-646)
24. Flood Disaster Protection Act of 1973, as amended (P.L. 93-234; 42 U.S.C. § 4002)
25. National Historic Preservation Act of 1966, as amended (P.L. 89-665; 16 U.S.C. § 470 et seq.), Executive Order 11593
26. Coastal Barrier Resources Act, as amended (P.L. 97-348; 16 U.S.C. 3501 et seq.)

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27. D.C. Minimum Wage Amendment Act of 2013 (D.C. Law 9-248, D.C. Official Code 32-1001 et seq.)

Certifications

The applicant shall be required to provide the following certifications:

1. Lobbying

If the grant is federally funded and as required by Section 1352, Title 31 of the U.S. Code and implemented at 28 CFR Part 69, for persons entering into a grant or cooperative agreement over \$100,000, as defined at 28 CFR Part 69, the applicant certifies, to the best of his or her knowledge and belief, that

- A. No federal appropriated funds have been paid or will be paid, by or on behalf of the aforesigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making of any federal grant, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal grant or cooperative agreement;
- B. If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this federal grant or cooperative agreement, the aforesigned shall complete and upload Standard Form LLL, "Disclosure of Lobbying Activities," in accordance with its instructions. The form may be uploaded within the applicant's application in EGMS.
- C. The applicant shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subgrants, contracts under grants and cooperative agreements, and subcontracts) and that all subrecipients shall certify and disclose accordingly.

2. Debarment, Suspension, and Other Responsibility Matters

If the grant is federally funded and as required by applicable federal regulations implementing Office of Management and Budget (OMB) guidelines at 2 CFR Part 180, "Guidelines to Agencies on Governmentwide Debarment and Suspension (Non-procurement)," for prospective participants in a covered transaction:

- A. The applicant certifies that it and its principals:
 - i. Are not presently debarred, suspended, proposed for debarment, excluded, disqualified, declared ineligible, sentenced to a denial of Federal benefits by a State or Federal Court, or voluntarily excluded from covered transactions by any Federal department or agency.
 - ii. Have not within a three-year period preceding this application been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of federal or state antitrust statutes; commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion, receiving stolen property, making false

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claims, or obstruction of justice; or commission of any other offense indicating a lack of business integrity or business honesty that seriously and directly affects your present responsibility;

- iii. Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State, or local) with commission of any of the offenses enumerated in subparagraph (i) of this certification; and
- iv. Have not within a three-year period preceding this application had one or more public transactions (Federal, State, or local) terminated for cause or default.

B. Where the applicant is unable to certify to any of the statements in this certification, he or she shall attached an explanation to this application.

3. Criminal Offenses or Legal Proceedings

The applicant must disclose in a written statement whether the applicant or any of its officers, partners, principals, members, associates, or key employees, within the last three years prior to the date of the application has:

- A. Been indicted or had charges brought against them (if still pending) and/or been convicted of any crime or offense involving financial misconduct or fraud; or
- B. Been the subject of legal proceedings from the provision of services by the organization.

If the response for 3(A) or 3(B) is in the affirmative, the applicant shall fully describe any such indictments, charges, convictions, or legal proceedings (and the status and disposition thereof) and the surrounding circumstances in writing and provide documentation of the circumstances.

“The applicant is prohibited from including any individual’s personally identifiable information, including but not limited to any data protected under the Family Educational Rights and Privacy Act, without also providing that individual’s written consent for the release of that information. Personally identifiable information is information that can be used to distinguish or trace an individual’s identity, either alone or when combined with other personal or identifying information that is linked or linkable to a specific individual.”

4. Political Campaigns and Contributions (for locally funded grants of \$100,000 or more)

If the grant is \$100,000 or more of local funds and in accordance with D.C. Official Code §1-328.15, I certify, under penalty of perjury, that the applicant is eligible to receive this grant award because the applicant and any of its officers, principals, partners, or members has not made a contribution (as that term is defined in D.C. Official Code §1-1161.01) or solicited such a contribution to be made for a District of Columbia general election within the time periods as described below:

- A. The applicant is ineligible to receive this grant from the date a contribution or solicitation for a contribution was made and continuing for one year after the general election for which the contribution or solicitation for contribution was made, whether or not the contribution was made before the primary election, to any of the following:
 - i. An elected District of Columbia official who is or could be involved in influencing or approving the award of this grant;

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- ii. A candidate for elective District of Columbia office who is or could be involved in influencing or approving the award of this grant; or
- iii. A political committee affiliated with a District candidate or elected District official described in (i) or (ii) above.

5. Compliance with Tax and Other Payments

The applicant certifies that it is current and shall remain current on payment of all federal and District taxes, as applicable, including Unemployment Insurance taxes and Workers' Compensation premiums. This statement of certification shall be accompanied, as appropriate, by a certificate from the District of Columbia OTR stating that the entity has complied with the filing requirements of District of Columbia tax laws and has paid taxes due to the District of Columbia, or is in compliance with any payment agreement with OTR. If applicable, please upload the OTR statement of certification here.

6. Any registered domestic entity or registered foreign entity must submit a Certificate of Good Standing from the D.C. Department of Consumer Affairs (DCRA). The Certificate of Good Standing verifies that an entity meets the regulatory requirements of the DCRA's Corporations Division. Please see the following link for more details. (<https://dcra.dc.gov/book/corporate-registration-fqs/corporate-registration-fqs-process>).

Is the applicant a registered domestic entity or registered foreign entity with DCRA's Corporations Division?

- Yes
- No

If yes, you must submit a Certificate of Good Standing below.

7. Acknowledgment of Accuracy

I certify that, to the best of my knowledge and belief, the information contained in this application is correct. I understand that to falsify information is grounds for denial or termination of any grant award.