



FY23 ESEA Consolidated Application Title IV-A

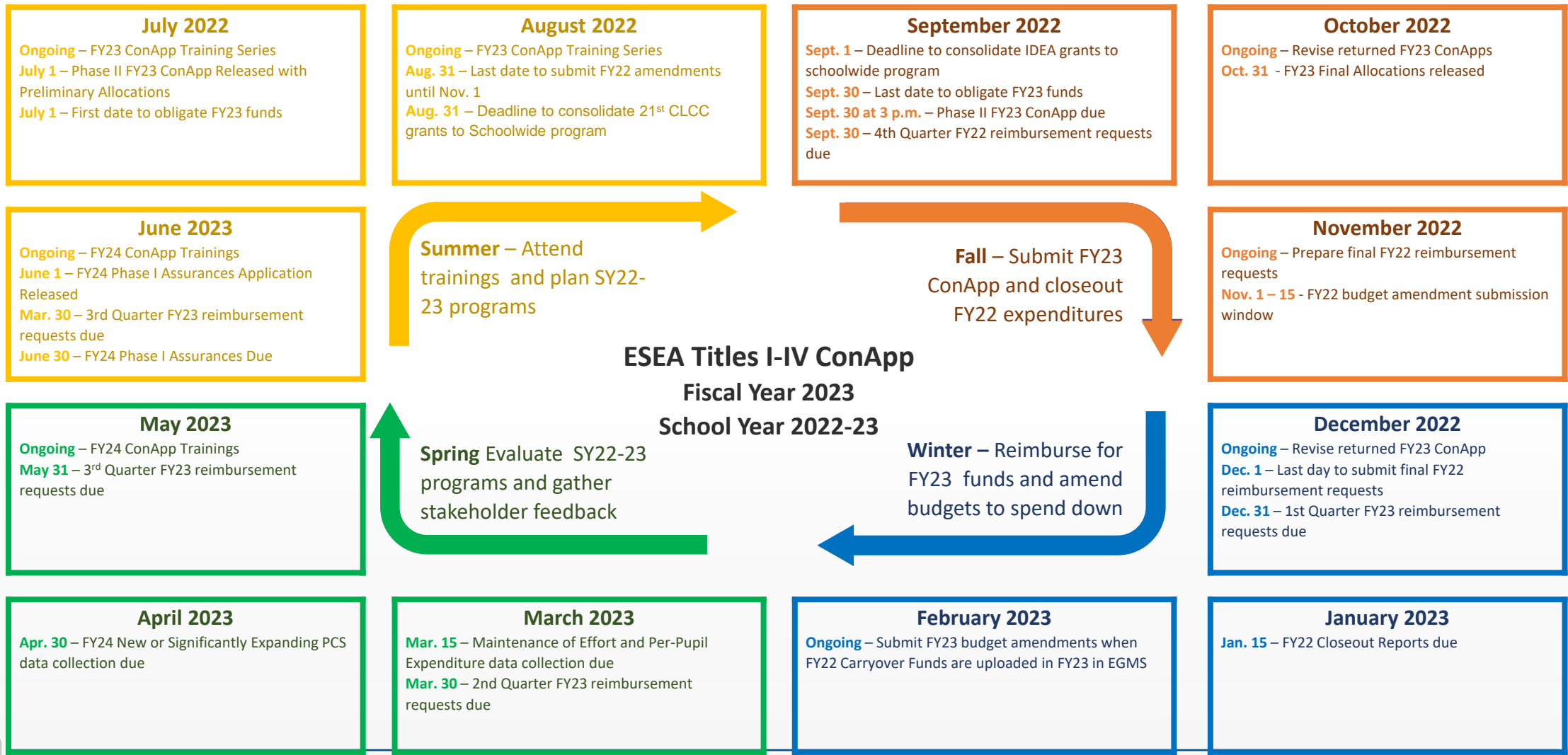
Systems and Supports, K-12

Goals

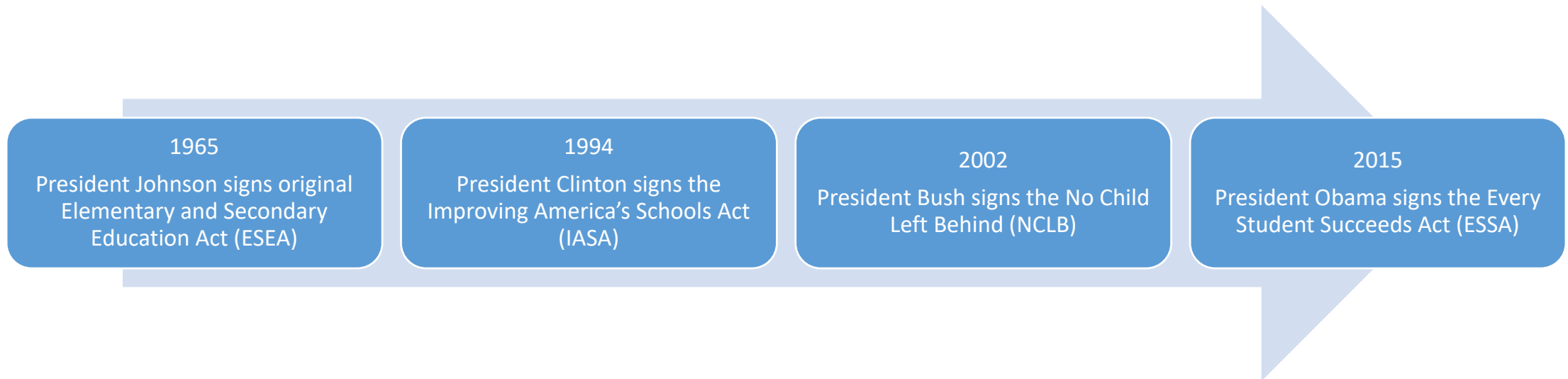
To gain a general understanding of:

- Program requirements for Title IV-A
- Title IV-A uses of funds and allowable expenditures
- Financial flexibilities available for Title IV-A funds
- Grant administration requirements
- Compliance and monitoring expectations

ESEA FY23 Grant Calendar



ESEA: History and Purpose



The Every Student Succeeds Act (ESSA) was signed into law in December 2015, reauthorizing ESEA.

TITLE IV, Part A: Student Support and Academic Enrichment Grants

PURPOSE: To improve students' academic achievement by increasing the capacity of LEAs to— (1) provide students with access to a well-rounded education; (2) improve school conditions for student learning; and (3) improve the use of educational technology.

Title IV, Part A – Allocation Calculation

$$\text{LEA's prior year Title I allocation share} \times \text{Total allocation}$$

Title IV, Part A – Allocation Calculation

Title IV-A funds are allocated proportionally among LEAs by the relative share of their prior year's Title I-A allocation, with a \$10,000 minimum funding amount.

- After initial allocation, eligible LEA's allocations are proportionally adjusted to ensure that all LEAs receive at least \$10,000.

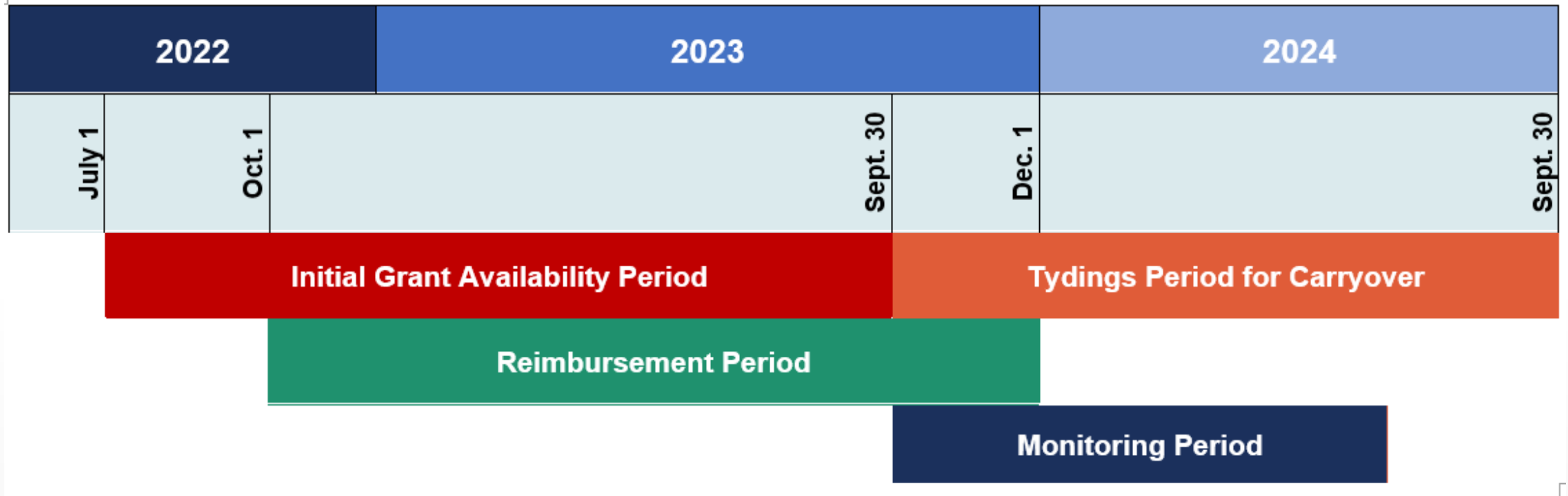
Final allocations for individual LEAs may fluctuate year-to-year as a result of:

- Variance in the number of qualifying LEAs and/or their relative shares of Title I-A funding
- Reallocations from other LEAs; and/or
- Changes in the District's state-level allocation



Title IV-A: Grant Administration

Life Cycle of FY23 Grant



Grant Administration Requirements

Qualifying for Funds

- LEAs serving grades K-12
- Signed Phase I assurances
- Approved Phase II Consolidated Application

Rules & Regulations

- [ESSA](#)
- [Education Department General Administrative Regulations](#)
- [Uniform Grants Guidance](#)
- [District of Columbia School Reform Act](#)

Monitoring

- [OSSE Annual Monitoring](#)
- [U.S. Department of Education Performance Review Process](#)

Supplement, Not Supplant (SNS)

SNS requires Title III funds to be supplemental to, and not replace, other federal, state, and local funds for activities are over and above the basic provision of EL services and academic need.

SNS may be presumed when:

- An LEA uses Title III funds to pay for an activity that is required by federal, state or local law; or
- An LEA uses Title III funds to pay for an activity it supported with state or local funds the prior year

Laws that include EL protections

- Civil Rights Act of 1964 – Title IV
- Equal Educational Opportunities Act

An LEA may not use Title III funds to:

- Identify English Learners
- Administer the required annual English Language Proficiency assessment (ACCESS)

Supplement, Not Supplant (SNS)

Supplanting is presumed when:

- An LEA uses Title IV funds to pay for an activity that is required by federal, state or local law; or
- An LEA uses Title IV funds to pay for an activity it supported with state or local funds the prior year

An LEA may be able to overcome a presumption of supplanting with written documentation that:

- It does not have the funds necessary to implement the activity and;
- That the activity would not be carried out in the absence of the Title IV funds

Allowable Uses of Funds - Application

Necessary and Reasonable

- The expenditure costs are clear to an objective observer
- Expenditures are made in accordance with federal and District laws and regulations and the terms and conditions of the federal award
- Expenses pay a fair market price for the goods or services
- Established practices and policies of the LEA for procurement are followed

Allocable

- The grant must benefit from the cost in proportion to the amount paid by the grant
- Salary and benefit costs must benefit the program in proportion to the amount paid

Allowable Uses of Funds – Reimbursement

Adequately Documented

- Sufficient evidence of expenditures to trace how the funds were spent
- Invoices and receipts with proof of payment
- Contracts and invoices including scope of work, dates and rates

Consistent with the Application

- Approved by OSSE
- Expenditures must be aligned with program plan and anticipated budget
- If needed, the uses of funds in the application may be amended for a new approval



Title IV-A: Program Requirements

Intent and Purposes

To improve students' academic achievement

Provide all students with access to a well-rounded education;

Improve school conditions for student learning; and

Improve the use of technology in order to improve the academic achievement and digital literacy of all students

Understanding the Needs

ESSA requires LEAs **receiving more than \$30,000 in Title IV funding** to conduct a comprehensive needs assessment to plan the program **once every three years**

Examine the needs for improvement of:

Access to and opportunities for a well-rounded education for all students

School conditions for student learning in order to create a healthy and safe school environment

Access to personalized learning experiences supported by technology and professional development for the effective use of data and technology

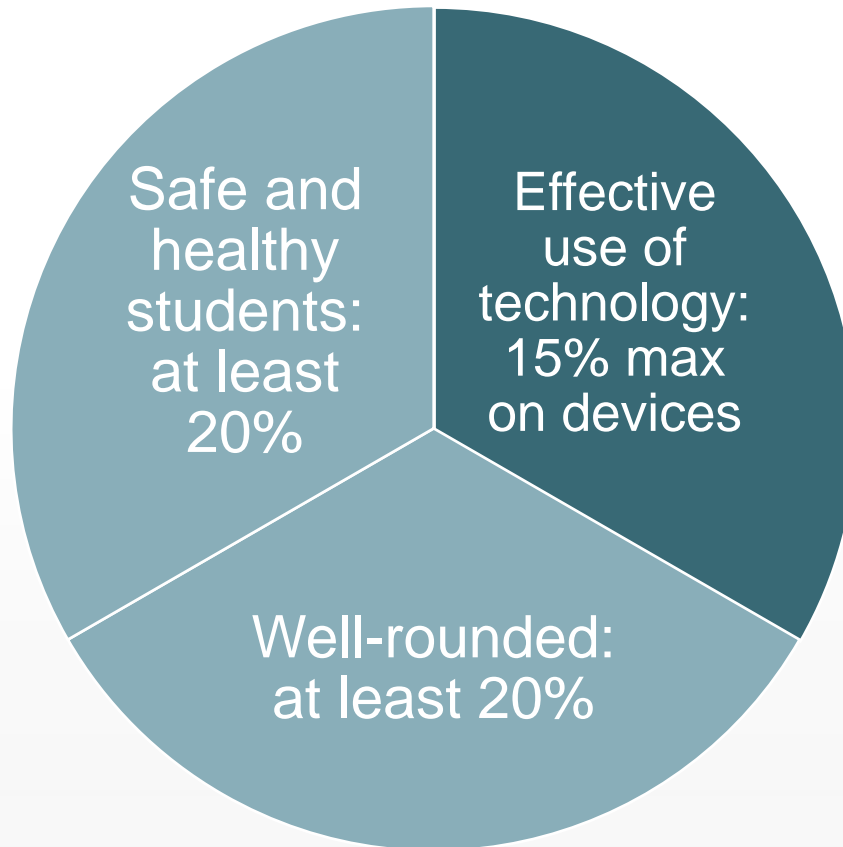
Application Consultation

Stakeholders

- Alternative and transitional education providers
- Community partners/ Community based organizations/ Community members
- LEA Staff
- Local government representatives
- Parents and family members
- Principals
- Specialized instructional support personnel
- Teachers
- Nonpublic Schools (DCPS Only)

Title IV, Part A – Budgeting Funds

LEAs Receiving > \$30,000



LEAs receiving less than \$30,000 may use funding for any categories in any ratio

Uses of Funds: Well-rounded Education

- 21st Century Life and Careers
- Accelerated learning programs
- American history
- Career and technical education
- College and career guidance and counseling
- Comprehensive health and physical education
- Computer science
- Dance
- Economics
- Engineering
- Environmental education
- Foreign languages
- Geography
- Government Education
- Language arts literacy
- Mathematics
- Music
- Science
- Social studies
- STEM
- Technology
- Theater
- Visual and performing arts
- Volunteerism and community involvement

Uses of Funds: Effective Use of Technology

- Blended learning projects
- High-quality digital learning resources and courses for students in rural, remote, and underserved areas
- Professional development in the use of technology and instructional leaders
- Professional learning tools, devices, content, and resources for school personnel
- Technology for specialized or rigorous academic courses and curricula

Uses of Funds: Safe and Healthy Schools

- Bullying and harassment prevention activities and programs
- Crisis and trauma-informed prevention and intervention training and programs
- Drug abuse prevention training
- Drug and violence prevention activities and programs
- Healthy, active lifestyle support activities and programs
- Human trafficking training
- Integrated health and safety practices, activities and programs
- Integrated student and family support system
- Mentoring and counseling
- Nutritional education
- Regular, structured physical educational activities and programs
- Relationship-building activities and programs
- School dropout and re-entry programs
- School-based mental health services and supports
- Suicided prevention training
- Violence prevention training

Financial Flexibilities: Transferring Funds

LEAs may transfer all or a portion of their Title II and Title IV allocations to another fund

FROM:

- Title II-A
- **Title IV-A**



TO:

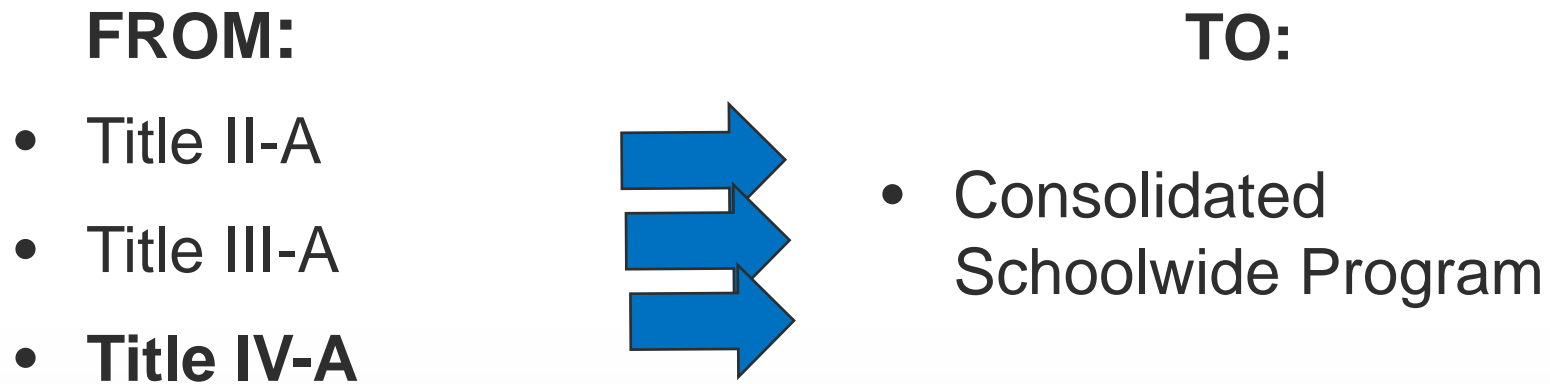
- Title I-A
- Title II-A
- Title III-A

Key requirements of Transferability:

- Transferred funds become funds of the program to which they are transferred and are subject to all the rules and requirements of the program to which the funds are transferred.
- DCPS must consult with private school officials if DCPS transfers funds from a program that provides for participation from private schools.

Financial Flexibilities: Consolidating Funds

LEAs may consolidate all or a portion of their Title II, Title III, and Title IV allocations into a consolidated Title I schoolwide program pool of funds



Key requirements of consolidation:

- Consolidated funds lose their identity and are subject to all the rules and requirements of the Title I program
- Consolidated programs must meet the intents and purposes of the individual Titles that were consolidated

Required Periodic Evaluation

Title IV Program Evaluation Required by ESSA

- Objectives and intended outcomes of the Title IV program activities are described in the application
- LEAs must evaluate the effectiveness of the Title IV activities periodically (**at least once every three years**)(Sec. 4106(e)1(E))

Continuous Improvement for Title IV Activities

- Consider changing the prioritization of schools or categories for funding
- Determine the success of the program in achieving the objectives and intended outcomes
- Inform the decision to consolidate or transfer Title IV funds



Title IV-A: Compliance and Monitoring

Compliance Under ESSA

Required Programs:

- Equitable Access to Effective, Experienced, and In-Field Teachers
- Family Engagement and Parent Involvement
- Early Learning Coordination with Head Start Agencies

Required Reporting:

- Maintenance of Effort
- Per-pupil Expenditures

Programmatic Monitoring

LEAs must capture **evidence of progress monitoring** to ensure the effectiveness of the grant program

Evidence may include:

- Stakeholder engagement
- Teacher conference meeting notes
- Student academic data
- Teacher observations
- School climate data
- Parent and family engagement policy and activities

Fiscal Monitoring

The most common findings or areas of improvement for LEAs in the recent Annual Grants Monitoring cycles were related to the following:

- Documentation of procurement policies and procedures
- Expenditure records (invoices, contracts, payments)
- Accounting records
- Time and effort certifications

Procurement Policies and Procedures

ALL LEAs

- [Education Department General Administrative Regulations \(EDGAR\)](#)
- [Uniform Grants Guidance \(UGG\)](#)

DCPS

[District of Columbia Municipal Regulations \(DCMR\)](#)

CHARTER LEAs

[District of Columbia Public Charter School Board Procurement Contract Submission Policy](#)

Time and Effort Certifications

For all personnel expenditures, LEAs should collect and maintain time and effort certifications.

Time and Effort Certifications **must:**

- Include the name, title, and grant role (cost objective) of the employee or contractor;
- Be dated to align with the grant period;
- Be signed by the employee and dated after the fact;
- Match payroll records; and
- Account for total activity (100% of time)

Time and Effort Certifications **should:**

- Be validated with a supervisor's signature; and
- Be completed quarterly, at a minimum, for personnel on multiple grants

Time and Effort Certifications **may:**

- Follow a semi-annual report pattern for personnel on a single grant; and
- Be signed electronically within a secure system

Parent and Family Engagement Activities

Develop the Title IV application through consultation with parents

Engage in continuous consultation with parents in order to improve the Title IV activities

Create a system that tracks parent consultation events

Documentation of Stakeholder Engagement

Types of documentation:

Opinion survey results

Meeting notes and presentations

Decision memos

Revised versions of policies

Email conversations

Not all engagement is documented the same way

Large public meetings or meetings between organization leaders

Teachers and staff may have representative meetings, or be surveyed, or responses may be incorporated into professional development activities

Some engagement occurs with other education organizations and may be documented there



Title IV-A: Next Steps and Resources

Next Steps

1. Applications are due Sept. 30, 2022 by 3 p.m.
2. Revise applications within two weeks of receiving comments (Oct. 1 – Dec. 31)
3. Receive final approval and being requesting reimbursement for expenditures (earliest date is Oct. 1)

ESEA Consolidated (Titles I-IV) Point of Contact

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<p>BASIS PCS Cedar Tree PCS DC Wildflower DCPS Friendship PCS Howard University Middle I Dream PCS IDEA PCS Kingsman Academy PCS Maya Angelou PCS Mundo Verde PCS Perry Street Prep PCS Richard Wright PCS Shining Stars Montessori Social Justice PCS St. Coletta PCS Washington Global Washington Latin</p>	<p>Achievement Prep PCS Breakthrough Montessori Capital City PCS Capitol Village PCS Center City PCS Cesar Chavez PCS Creative Minds DC Bilingual DC Prep DC Scholars Digital Pioneers E.L. Haynes PCS Global Citizens Inspired Teaching LAMB PCS Lee Montessori Meridian PCS Paul PCS Sela PCS Sojourner Truth PCS Washington Leadership Academy Washington Yu Ying PCS</p>	<p>Bridges PCS Children's Guild DC International Eagle Academy PCS Early Childhood Academy Girls Global Harmony Hope Community Ingenuity Prep PCS KIPP DC LEARN DC Mary McLeod Monument Rocketship SEED Statesman PCS E.W. Stokes PCS Thurgood Marshall PCS Two Rivers PCS</p>



Resources

- [ESEA – Law](#)
- [OSSE - Consolidated Application Webpage](#)
- [OSSE - ESSA Guidance for LEAs Webpage](#)