



FY21 ESEA Consolidated Application

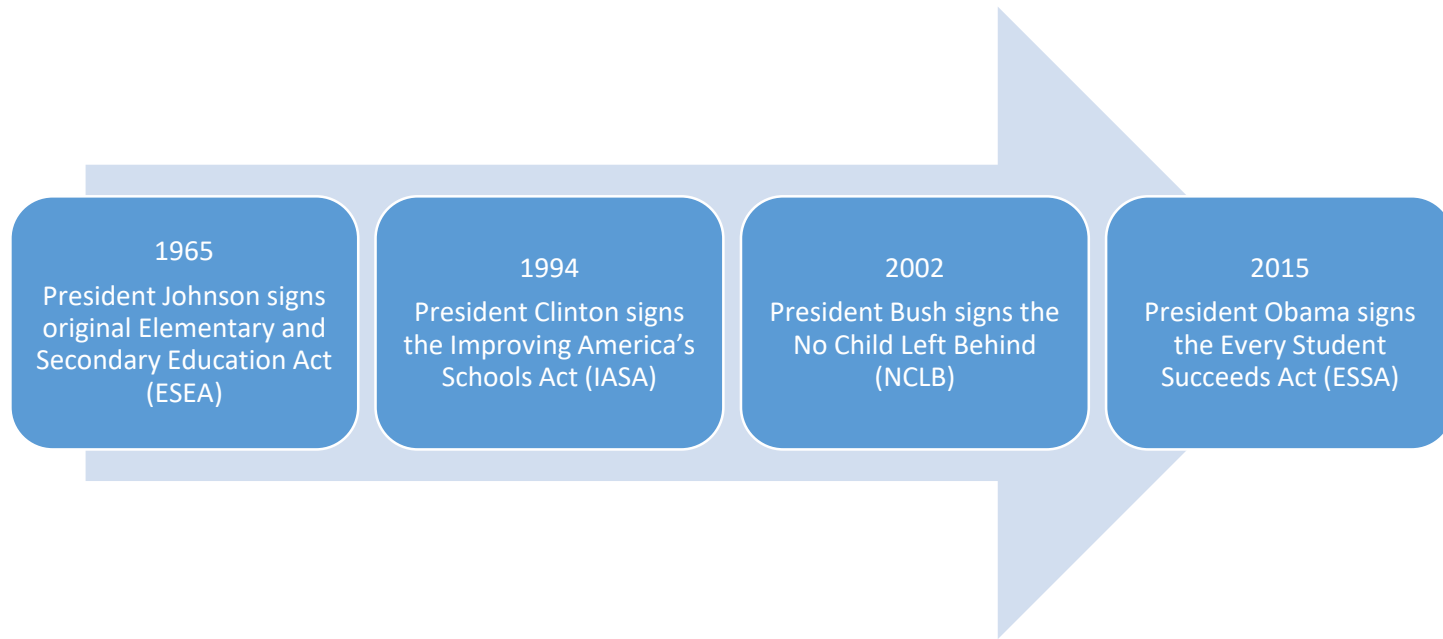
Title IV-A

K-12 Systems and Supports

Agenda

- Introduction
- Overview: ESEA Title IV, Part A
- Title IV-A: Grant Administration
- Title IV-A: Program Requirements
- Next Steps
- Question and Answer

ESEA: History and Purpose



The Every Student Succeeds Act (ESSA) was signed into law in December 2015, reauthorizing ESEA.

PURPOSE: The intent of ESSA is to ensure that all children have a fair, equal, and significant opportunity to obtain a high-quality education.

TITLE IV, Part A: Student Support and Academic Enrichment Grants

Title IV, Part A – Allocation Calculation

$$\text{LEA's prior year Title I allocation share} \times \text{Total allocation}$$

Title IV, Part A – Allocation Calculation

Title IV-A funds are allocated proportionally among LEAs by their relative share of the prior year's Title I-A allocation, with a \$10,000 minimum funding amount.

- The initial allocation formula is based relative share of the Title I-A allocations in the prior year.
- After initial allocation, eligible LEA's allocations are proportionally adjusted to ensure that all LEAs receive at least \$10,000.
- Final allocations for individual LEAs may fluctuate year-to-year as a result of variance in the number of qualifying LEAs and/or their relative shares of Title I-A funding; reallocations from other LEAs; and/or changes in the District's state-level allocation



Title IV: Grant Administration

Grant Administration Requirements

Qualifying For Funds

- K-12 LEAs that received Title I-A funds the prior year
- Signed Phase I assurances
- Approved Phase II consolidated application

Rules & Regulations

- [ESSA](#)
- [Education Department General Administrative Regulations](#)
- Uniform Grants Guidance ([UGG](#)), [2 CFR Part 200](#)
- [District of Columbia School Reform Act](#)

Monitoring

- [OSSE Risk-Based Monitoring System](#)
- [U.S. Department of Education Performance Review Process](#)

Fiscal Year 2021 Grant Calendar

Initial Grant Period	<ul style="list-style-type: none">• July 1, 2020 - First date of obligation• Sept. 30, 2021 – Last date of obligation
Reimbursement Period	<ul style="list-style-type: none">• Oct. 1, 2020 – First date to request• Dec. 1, 2021 – Last date to request
Closeout Period	<ul style="list-style-type: none">• Dec. 12, 2021 – First date to submit• Jan. 31 2022 – Closeout reports due
Tydings Period for Carryover	<ul style="list-style-type: none">• Oct. 1, 2021 – Sept. 30, 2022
Typical Monitoring Period	<ul style="list-style-type: none">• Fall 2021 – Spring 2022

Supplement, Not Supplant (SNS)

Supplanting is presumed when:

- An LEA uses Title IV funds to pay for an activity that is required by federal, state or local law; or
- An LEA uses Title IV funds to pay for an activity it supported with state or local funds the prior year

An LEA may be able to overcome a presumption of supplanting with written documentation that:

- It does not have the funds necessary to implement the activity and;
- That the activity would not be carried out in the absence of the Title IV funds

Allowable Uses of Funds - Application

Necessary and Reasonable

- The expenditure costs are clear to an objective observer
- Expenditures are made in accordance with federal and District laws and regulations and the terms and conditions of the federal award
- Expenses pay a fair market price for the goods or services
- Established practices and policies of the LEA for procurement are followed

Allocable

- The grant must benefit from the cost in proportion to the amount paid by the grant
- Salary and benefit costs must benefit the program in proportion to the amount paid

Allowable Uses of Funds – Reimbursement

Adequately Documented

- Sufficient evidence of expenditures to trace how the funds were spent
 - Invoices and receipts with proof of payment
 - Contracts and invoices including scope of work, dates and rates

Consistent with the Application

- Approved by OSSE
- Expenditures must be aligned with program plan and anticipated budget
- If needed, the uses of funds in the application may be amended for a new approval



Title IV-A: Program Requirements

Intent and Purposes

To improve students' academic achievement

Provide all students with access to a well-rounded education;

Improve school conditions for student learning; and

Improve the use of technology in order to improve the academic achievement and digital literacy of all students

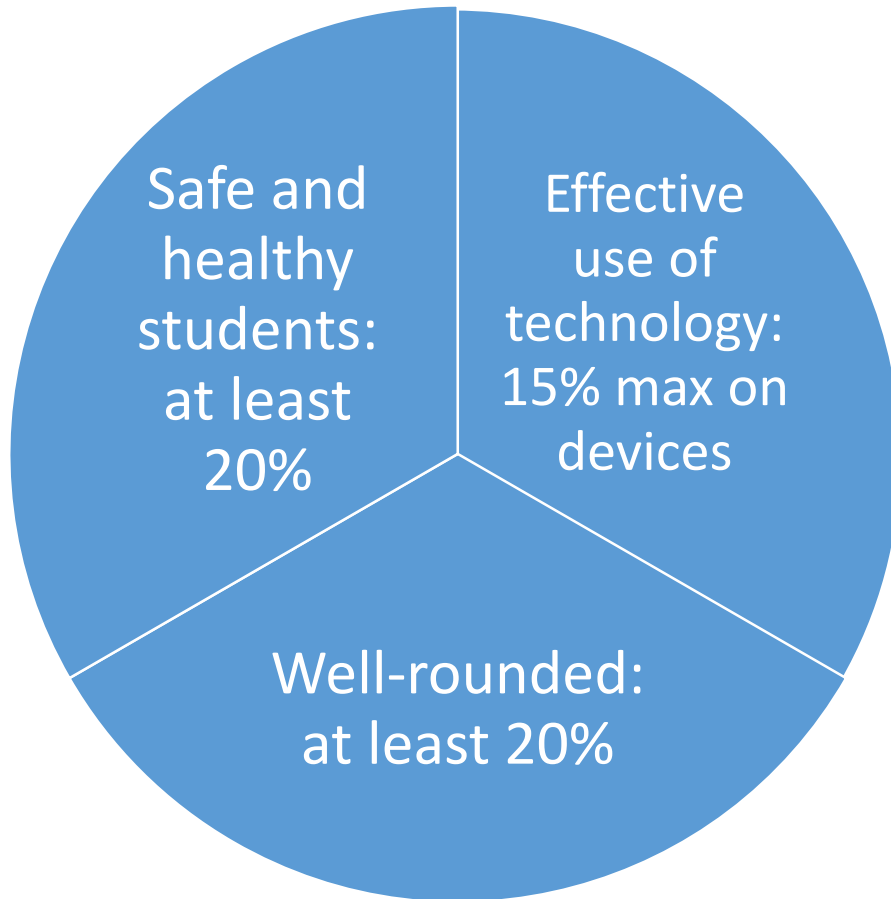
Application Consultation

Stakeholders

- Alternative and transitional education providers
- Community partners/ Community based organizations/ Community members
- LEA Staff
- Local government representatives
- Nonpublic Schools (DCPS Only)
- Parents and family members
- Principals
- Specialized instructional support personnel
- Teachers

Title IV, Part A – Budgeting Funds

LEAs Receiving > \$30,000



LEAs receiving less than \$30,000 may use funding for any categories in any ratio

Uses of Funds: Well-rounded Education

- 21st Century Life and Careers
- Accelerated learning programs
- American history
- Career and technical education
- College and career guidance and counseling
- Comprehensive health and physical education
- Computer science
- Dance
- Economics
- Engineering
- Environmental education
- Foreign languages
- Geography
- Government Education
- Language arts literacy
- Mathematics
- Music
- Science
- Social studies
- STEM
- Technology
- Theater
- Visual and performing arts
- Volunteerism and community involvement

Uses of Funds: Effective Use of Technology

- Blended learning projects
- High-quality digital learning resources and courses for students in rural, remote, and underserved areas
- Professional development in the use of technology and instructional leaders
- Professional learning tools, devices, content, and resources for school personnel
- Technology for specialized or rigorous academic courses and curricula

Uses of Funds: Safe and Healthy Schools

- Bullying and harassment prevention activities and programs
- Crisis and trauma-informed prevention and intervention training and programs
- Drug abuse prevention training
- Drug and violence prevention activities and programs
- Healthy, active lifestyle support activities and programs
- Human trafficking training
- Integrated health and safety practices, activities and programs
- Integrated student and family support system
- Mentoring and counseling
- Nutritional education
- Regular, structured physical educational activities and programs
- Relationship-building activities and programs
- School dropout and re-entry programs
- School-based mental health services and supports
- Suicided prevention training
- Violence prevention training

Financial Flexibilities: Transferring Funds

LEAs may transfer all or a portion of their Title II and Title IV allocations to another fund

FROM:

- Title II-A
- **Title IV-A**



TO:

- Title I-A
- Title II-A
- Title III-A

Key requirements of Transferability:

- Transferred funds become funds of the program to which they are transferred and are subject to all the rules and requirements of the program to which the funds are transferred.
- DCPS must consult with private school officials if DCPS transfers funds from a program that provides for participation from private schools.

Financial Flexibilities: Consolidating Funds

LEAs may consolidate all or a portion of their Title II, Title III, and Title IV allocations into a consolidated Title I schoolwide program pool of funds

FROM:

- Title II-A
- Title III-A
- **Title IV-A**



TO:

- Title I-A Schoolwide Program

Key requirements of consolidation:

- Consolidated funds lose their identity and are subject to all the rules and requirements of the Title I program
- Consolidated programs must meet the intents and purposes of the individual Titles that were consolidated

Title IV: Amend Phase I Assurances

1. In the EGMS Dashboard, open ESEA Phase I Assurances to amend the Title IV Part A tab
2. Accept the funding and complete appropriate assurances for the LEA's funding level

OVERVIEW	TITLE I PART A	TITLE II PART A	TITLE III PART A	TITLE IV PART A	GENERAL ASSURANCES	DCPS ONLY	STATEWIDE ACCOUNTABILITY	ASSURANCES AGREEMENT	SUBMIT	ASSURANCES PRINT
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Title IV, Part A Assurances

Is the LEA applying for Title IV Part A funds?

☒ YES ☐ NO



Compliance and Monitoring

Common Monitoring Findings

LEAs were monitored in the Risk Based Monitoring System 2019-2020 cycle and the most common findings or areas of improvement were related to the following program components:

1. Procurement policies and procedures
2. Time and effort certifications
3. Parent and family engagement policy and activities
4. Documentation of stakeholder engagement

Procurement Policies and Procedures

ALL LEAs

- [Education Department General Administrative Regulations](#) (EDGAR)
- [Uniform Grants Guidance](#) (UGG)

DCPS

- [District of Columbia Municipal Regulations](#) (DCMR)

PCS

- [District of Columbia Public Charter School Board Procurement Contract Submission Policy](#) (PCSB)

Time and Effort Certifications

For all personnel expenditures, LEAs should collect and maintain time and effort certifications.

Time and Effort Certifications **must**:

- Include the name, title, and grant role (cost objective) of the employee or contractor;
- Be dated to align with the grant period;
- Be signed by the employee and dated after the fact;
- Match payroll records; and
- Account for total activity (100% of time)

Time and Effort Certifications **should**:

- Be validated with a supervisor's signature; and
- Be completed quarterly, at a minimum, for personnel that meet multiple cost objectives.

Time and Effort Certifications **may**:

- Follow a semi-annual report pattern for single cost objectives; and
- Be signed electronically within a secure system.

Parent and Family Engagement Activities

Develop the Title IV application through consultation with parents

Engage in continuous consultation with parents in order to improve the Title IV activities

Create a system that tracks parent consultation events

Documentation of Engagement

Types of documentation:

- Opinion survey results
- Meeting notes and presentations
- Decision memos
- Revised versions of policies
- Email conversations



Next Steps

Next Steps

1. Applications are due Sept. 30, 2020 by 3 p.m.
1. Revise applications within two weeks of receiving comments (Oct. 1 – Dec. 31)
2. Receive final approval and being requesting reimbursement for expenditures (earliest date is Oct. 1)

OSSE - K-12 Systems and Support Contacts

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Resources

- [ESEA – Law](#)
- [OSSE - Consolidated Application Webpage](#)
- [OSSE - ESSA Guidance for LEAs Webpage](#)