



**District of Columbia
Part C State Annual Performance Report (APR) for Federal
Fiscal Year (FFY) 2012**

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Overview of the Annual Performance Report Development

The District of Columbia Office of the State Superintendent of Education (OSSE), Division of Early Learning, DC Early Intervention Program (DC EIP), hereinafter referred to as the District, is the District of Columbia's designated Lead Agency for administering Part C of the Individuals with Disabilities Education Act of 2004 (IDEA), including the 2011 Part C regulations. These regulations, issued in September 2011, were implemented in the District effective July 1, 2012.

The District's Part C State Performance Plan (SPP) serves as a road map that outlines performance goals and annual targets necessary for building a coordinated system of early intervention services. Progress in key performance areas is reviewed and reported on annually via the Annual Performance Report (APR). This annual data collection and review process allows OSSE to make data-based decisions that ensure the appropriate allocation of resources to areas of greatest need.

OSSE ensures that stakeholders and the public are engaged in its activities through a variety of measures. A sampling of these include: regular meetings of the Interagency Coordinating Council, monthly meetings with providers and partner agencies, expansion of OSSE's Division of Specialized Education's web page, and regular communications to stakeholders. Together, these tools create a feedback loop which allows for continuous improvement.

The FFY 2012 APR was prepared using instructions forwarded to OSSE by OSEP. Instructions were drawn from several documents:

- OSEP's FFY 2011 APR Response Table
- OSEP's General Instructions for the SPP and APR
- OSEP's SPP and APR Part C Indicator Measurement Table
- OSEP's Optional APR Templates

OSSE staff utilized the Strong Start Tracker (SST), the Child Outcome Summary (COS) forms, the Assessment, Evaluation, and Programming System (AEPS) and data from the Division's Monitoring and Compliance Unit to determine performance on the indicators. Technical assistance was provided by several federal contractors – most notably the Mid-South Regional Resource Center. OSSE leadership discussed each of the requirements, reviewed calculations, and discussed improvement activities. As of FFY 2011, state reporting on Indicators 10 and 11 has been eliminated by OSEP. Data related to these two indicators are reported in November of each year to OSEP as part of reporting required under Section 618 of the IDEA. These data may be found at: <http://www.ideadata.org/tools-and-products>.

OSSE is pleased to note that it continued to make tremendous progress in FFY 2012. Key initiatives that were completed include:

- Promulgation of new District regulations governing Part C
- Issuance of new state-level policies and procedures
- Transfer of DC EIP into the Division of Early Learning – an effort to support the national and state priority of improving school readiness;
- Launch of a new service coordination model; and
- Proposal of new District Medicaid regulations codifying its role as part of the District's Part C system.

For FFY 2012, as OSEP transitions to a new indicator that will replace the improvement activities for each indicator in FFY 2013, states are only required to include improvement activities for each indicator if revisions were made. This report is designed to provide a comprehensive update on the lead agency's efforts to meet both federal and local objectives for all infants and toddlers with developmental disabilities or delays to perform commensurate with their typically developing peers and receive timely and effective support. Together with the SPP, this report will be published on the OSSE website at <http://osse.dc.gov/>. There were no revisions to the District's SPP since the FFY 2013 submission. A complete copy of the SPP can be found at: <http://osse.dc.gov/publication/revised-state-performance-plan-2005-2012-part-c>. The District reports annually to the report to the public on the FFY 2011 performance against the targets in the SPP. This report is located at: <http://osse.dc.gov/publication/report-public-part-c-ffy-2011>.

Part C State Annual Performance Report (APR) for FFY 2012

Monitoring Priority: Early Intervention Services In Natural Environments

Indicator 1

Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.

(20 U.S.C. 1416(a) (3) (A) and 1442)

Measurement:

Percent = [(# of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner) divided by the (total # of infants and toddlers with IFSPs)] times 100.

Account for untimely receipt of services, including the reasons for delays.

FFY	Measurable and Rigorous Target
2012	100%

Actual Target Data for FFY 2012:

88.9%

Infants and Toddlers with IFSPs who receive Early Intervention Services in a Timely Manner:

a. Number of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner	363
b. Total number of infants and toddlers with IFSPs	408
Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner (Percent = [(a) divided by (b)] times 100)	88.9%

In FFY 2012, 88.9% of infants and toddlers with Individualized Family Service Plans (IFSPs) received early intervention services in a timely manner. For purposes of this Indicator, “timely manner” is defined as within 30 days of the parent-provided consent as indicated by the signed IFSP. Timely services are reported for 318 children, including fifteen (15) children counted as timely because services were delayed due to exceptional family circumstances. Twenty-four percent (23.9%), or 100 of the 418 infants and toddlers with IFSPs, did not receive their services in a timely manner because of Provider Agency and DC EIP delays.

The following process was used to complete data collection and analysis for timely service delivery:

- Data were collected via the SST. This system captures dates when all services are implemented. The SST was used to identify all children who had a new service on an initial or updated IFSP (6 month, annual, or modified) for the full year of FFY 2012 (7/1/12 – 6/30/13). Data collection was conducted on a quarterly basis.
- The database is capable of calculating the number of days from the date of the parent consent to the “start date” of the new services.
- The SST produced an Excel spreadsheet that identified the children in the monitoring query, the date of the applicable IFSP meeting, and any new services that were added to an IFSP. Early intervention services were tracked from the date of the IFSP meeting until the start date of each new service to calculate timeliness.
- For any child identified for whom the data system indicated services were started late, DC EIP staff reviewed the early intervention record, as well as the case notes, to determine the reason for delay.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2012:

The District is reporting progress for this indicator in FFY 2012 (88.9%) as compared to FFY 2011 (84.6%). During FFY 2012, the Program fully implemented the shift from a hybrid service coordination model (i.e., part contract, part DC EIP employee service coordination) to a full contractual model. One contributing factor to this progress is that ongoing service coordinators are invited to initial IFSP meetings to engage with families as early as possible.

To address the noncompliance in FFY 2012, a drill-down of data was conducted. This data review was facilitated by DC EIP, which completed an initial analysis to determine the responsibility for delays. The Division of Special Education’s Quality Assurance and Monitoring Unit (QAM) issued findings to three provider agencies with the requirement of specific corrective actions. In addition to child level corrections that have already been made, although late, the noncompliance will be deemed to be corrected when DC EIP can show, through a

subsequent review of data in the SST, that the regulatory requirement for this indicator is being met for 100% of children. Service coordination agencies were required to develop policies and procedures relating to timeliness of service delivery and also to implement internal quality assurance measures, which include file reviews. One of the 3 agencies was required to complete a corrective action plan (CAP) as a result of ongoing noncompliance.

As stated above, the slippage in this indicator can be attributed to the adjustment in the service coordination model. To address changes to the model, as well as impending regulatory and procedural changes, OSSE has continued to implement activities devised to improve compliance with this indicator:

Professional Development

The District has developed a professional development and data review plan to ensure that the District can identify problems and address them on a more frequent basis. Staff work directly with providers who are demonstrating less than optimal understanding of Part C requirements. In addition, monthly meetings/trainings for service coordinators and direct service providers are mandatory. In FFY 2012 the District instituted an annual service coordination boot camp as well as a Part C summer institute, which included the participation of Mid-South MSRRRC staff, to ensure that all staff are aware of the requirements. A new service coordination manual was developed to accompany the training activities.

Partnerships

The Program has also increased the collaboration of its partners in the Part C system, most notably the Department of Health Care Finance (DHCF). DHCF has proposed new regulations that not only outline the services Medicaid is responsible for, but creates a payment schedule for services provided by Medicaid providers. This regulation will greatly improve the District's ability recruit and retain service providers.

Revisions, with Justification, to Targets / Improvement Activities / Timelines / Resources for FFY 2013:

No revisions to targets, improvement activities, timelines or resources are proposed for this indicator for FFY 2013.

Part C State Annual Performance Report (APR) for FFY 2012

Monitoring Priority: Early Intervention Services In Natural Environments

Indicator 2

Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Measurement: Percent = [(# of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings) divided by the (total # of infants and toddlers with IFSPs)] times 100.

FFY	Measurable and Rigorous Target
2012	95%

Actual Target Data for FFY 2012:

96.1%

a. Number of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings	463
b. Total number of infants and toddlers with IFSPs	482
Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings (Percent = [(a) divided by (b)] times 100)	96.1%

The following process was used to complete data collection and analysis for settings:

- Data were collected via the DC EIP Strong Start Tracker (SST). The database produces an Excel spreadsheet identifying all children who had a service on an IFSP, and the setting in which each service is being provided.

- For children who are receiving services in multiple settings, a determination was made regarding the primary setting for service delivery for each child based on the number of service hours for each setting.

These data were reported on Table 2 in accordance with Section 618 of IDEA and submitted timely on February 1, 2013.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2012:

The District is reporting progress for this indicator in FFY 2012 with 96.1% of infants and toddlers receiving services in the natural environment, as compared to FFY 2011 (85.7%). The District also met its projected target of 95.0% for FFY 2012.

Of the 482 children reported in the December 1, 2012 Child Count Table, 463 were receiving services primarily in their home or community based setting for typically developing children. Nineteen (19) children (3.9%) received services in other settings.

OSSE attributes the progress in the performance of this indicator to two primary goals of FFY 2012: (1) the managed care organizations have worked closely with the DC EIP to build their provider network and to require providers to attend DC EIP trainings on the importance of providing services in the natural environment; and (2) the new initial service coordination model which includes a face-to-face visit with each family prior to the initial evaluation, enables the service coordinator to fully explain to families how early intervention works and to impress upon them the importance of services in the natural environment.

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2013:

No revisions to targets, improvement activities, timelines or resources are proposed for this indicator for FFY 2013.

Part C State Annual Performance Report (APR) for FFY 2012

Monitoring Priority: Early Intervention Services In Natural Environments

Indicator 3

Percent of infants and toddlers with IFSPs who demonstrate improved:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/communication); and
- C. Use of appropriate behaviors to meet their needs.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Measurement:

Outcomes:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/communication); and
- C. Use of appropriate behaviors to meet their needs.

Progress categories for A, B and C:

- a. Percent of infants and toddlers who did not improve functioning = $\left[\frac{\text{(\# of infants and toddlers who did not improve functioning)}}{\text{(\# of infants and toddlers with IFSPs assessed)}} \right] \text{ times } 100.$
- b. Percent of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers = $\left[\frac{\text{(\# of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers)}}{\text{(\# of infants and toddlers with IFSPs assessed)}} \right] \text{ times } 100.$
- c. Percent of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it = $\left[\frac{\text{(\# of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it)}}{\text{(\# of infants and toddlers with IFSPs assessed)}} \right] \text{ times } 100.$
- d. Percent of infants and toddlers who improved functioning to reach a level comparable to same-aged peers = $\left[\frac{\text{(\# of infants and toddlers who improved functioning to reach a level comparable to same-aged peers)}}{\text{(\# of infants and toddlers with IFSPs assessed)}} \right] \text{ times } 100.$
- e. Percent of infants and toddlers who maintained functioning at a level comparable to same-aged peers = $\left[\frac{\text{(\# of infants and toddlers who maintained functioning at a level comparable to same-aged peers)}}{\text{(\# of infants and toddlers with IFSPs assessed)}} \right] \text{ times } 100.$

comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.

Summary Statements for Each of the Three Outcomes (use for FFY 2008-2009 reporting):

Summary Statement 1: Of those infants and toddlers who entered or exited early intervention below age expectations in each Outcome, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program.

Measurement for Summary Statement 1:

Percent = # of infants and toddlers reported in progress category (c) plus # of infants and toddlers reported in category (d) divided by [# of infants and toddlers reported in progress category (a) plus # of infants and toddlers reported in progress category (b) plus # of infants and toddlers reported in progress category (c) plus # of infants and toddlers reported in progress category (d)] times 100.

Summary Statement 2: The percent of infants and toddlers who were functioning within age expectations in each Outcome by the time they turned 3 years of age or exited the program.

Measurement for Summary Statement 2: Percent = # of infants and toddlers reported in progress category (d) plus [# of infants and toddlers reported in progress category (e) divided by the total # of infants and toddlers reported in progress categories (a) + (b) + (c) + (d) + (e)] times 100.

Target Data and Actual Data for FFY 2012:

Targets and Actual Data for Part C Children Exiting in FFY 2012 (2012 – 13)

Summary Statements	Actual FFY 2011 % and # of Children	Actual FFY 2012 % and # of children	Target FFY 2012 % of Children
Outcome A: Positive social-emotional skills (including social relationships)			
1. Of those children who entered or exited the program below age expectations in Outcome A, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program. Formula (c+d/a+b+c+d)*100	74.8% (n=155)	58.2% (n=165)	75.2%
2. The percent of children who were functioning within age expectations in Outcome A by the time they turned 3 years of age or exited the program. Formula (d+e/a+b+c+d+e)*100	68.1% (n=254)	55.5% (n=227)	31.6%
Outcome B: Acquisition and use of knowledge and skills (including early language/communication and early literacy)			

1. Of those children who entered or exited the program below age expectations in Outcome B, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program. Formula $(c+d/a+b+c+d)*100$	73.6% (n=208)	62.6% (n=206)	71.6%
2. The percent of children who were functioning within age expectations in Outcome B by the time they turned 3 years of age or exited the program. Formula $(d+e/a+b+c+d+e)*100$	46.1% (n=254)	49.8% (n=227)	35.9%
Outcome C: Use of appropriate behaviors to meet their needs			
1. Of those children who entered or exited the program below age expectations in Outcome C, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program. Formula $(c+d/a+b+c+d)*100$	77.4% (n=195)	67.7% (n=186)	80.2%
2. The percent of children who were functioning within age expectations in Outcome C by the time they turned 3 years of age or exited the program. Formula $(d+e/a+b+c+d+e)*100$	57.9% (n=254)	60.4% (n=227)	44.4%

Calculations within each outcome category are made independently; therefore, total percentages do not equate to 100, as a child may appear in both categories dependent upon the amount of demonstrated progress.

Progress Data for Part C Children FFY 2012

A. Positive social-emotional skills (including social relationships):	Number of children	% of children
a. Percent of infants and toddlers who did not improve functioning	34	15.0%
b. Percent of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	35	15.4%
c. Percent of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach	32	14.1%
d. Percent of infants and toddlers who improved functioning to reach a level comparable to same-aged peers	64	28.2%

e. Percent of infants and toddlers who maintained functioning at a level comparable to same-aged peers	62	27.3%
Total	227	100%
B. Acquisition and use of knowledge and skills (including early language/communication):	Number of children	% of children
a. Percent of infants and toddlers who did not improve functioning	36	15.9%
b. Percent of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	41	18.1%
c. Percent of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach	37	16.3%
d. Percent of infants and toddlers who improved functioning to reach a level comparable to same-aged peers	92	40.5%
e. Percent of infants and toddlers who maintained functioning at a level comparable to same-aged peers	21	9.3%
Total	227	100%
C. Use of appropriate behaviors to meet their needs:	Number of children	% of children
a. Percent of infants and toddlers who did not improve functioning	23	10.1%
b. Percent of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	37	16.3%
c. Percent of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach	30	13.2%
d. Percent of infants and toddlers who improved functioning to reach a level comparable to same-aged peers	96	42.3%
e. Percent of infants and toddlers who maintained functioning at a level comparable to same-aged peers	41	18.1%
Total	227	100%

Data were collected on all children who were served for at least six months using the Child Outcomes Summary (COS) and the Assessment, Evaluation, and Programming System (AEPS).

Indicator 3 - Data Collection Summary

	FFY 2011	FFY 2012
Total exiting Part C	437	434
Number receiving services 6 months or longer	272	275
Number with entry and exit data	254	227
Percent with entry and exit data	93.4%	82.5%

The COS allows providers, service coordinators and families to summarize information collected from multiple sources to address the three child outcomes. The COS's 7-point rating scale is used to determine the extent to which a child's functioning on each outcome is appropriate given his or her age, and whether that child made progress towards age appropriate behavior. The AEPS is a curriculum-based assessment used to determine progress towards developmental and IFSP goals. The system is designed to provide OSEP Child Outcomes information based on a child's progress.

Child outcomes exit data were collected on 227 children for FFY 2012. The following process was used to complete data collection and analysis for child outcome determination:

- The District utilized the Child Outcomes Summary (COS) and ECO's procedures for using the form to collect data for this indicator; these data, along with the scores that were collected for children through the AEPS, were recorded in the COS calculator which automatically populates the OSEP Categories.
- Data were collected only if infants and toddlers entered DC EIP before 2 years, 6 months of age.
- The entry COS/AEPS was completed by the initial evaluation provider and the exit COS/AEPS was completed by either the service coordinator or the re-assessment provider no more than 60 days prior to the child's exit from the program.
- In FFY 2012, the Battelle Developmental Inventory (BDI) was used at exit for children exiting at their 3rd birthday.

Discussion of Summary Statements and a-e Progress Data for FFY 2012:

Outcome A: Compared to FFY 2011, the District saw a significant increase in the progress data for sub-category (a) and a large decrease for sub-category (e) with regards to positive social-emotional skills. The District has continued to focus its efforts in this area through working with Medicaid MCOs to provide ABA as a covered service; as well as providing training to service coordinators and direct service providers in Parents as Teachers, PECS and other programs with behavioral/social-emotional components. This large shift on both (a) and (e) is most likely attributed to the use of the outcome measurement tool.

Outcome B: Compared to FFY 2011, all sub-categories for the acquisition and use of knowledge and skills increased substantially except for (b). The significant increase in (a) indicate a need for more training of service providers to assist in the understanding of the difference between sub-categories (a) and (b).

Outcome C: As with Outcomes A and B, sub-category (a) has increased significantly from FFY 2011. All other sub-categories, with the exception of (c) which showed a marked decline, increased for use of appropriate behaviors.

In Summary Statement 1, the District showed slippage in the percentage of children who substantially increased their rate of growth by the time they turned 3 years of age or exited the program across all three outcomes. The District also did not meet its target for any of the outcome categories.

For Summary Statement 2 the District has made progress in Outcomes B and C, but experienced slippage in Outcome A; there was a slight increase in the percentage of children who were functioning within age expectations by the time they turned 3 years of age or exited the program for Outcomes B and C. For Outcome A, the decrease was dramatic; suggesting that the shift in tools may have played a part in the results. The District met its target for all of the outcome categories.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2012:

The District has shown both progress and slippage for this indicator from FFY 2011. FFY 2012 is the third year that the District will be reporting twelve months of data where all children who spent at least 6 months in Part C should have both an entry and an exit COS. The decrease from FFY 2011 in the number of entry and exit COS profiles the Program completed is a result of the implementation of the AEPS, which features reports that automatically gather scores for children on the specific items of the tool that align with the three child outcomes. The AEPS calculates whether a child is performing at the level of same-aged peers, and provides ratings based on the Early Childhood Outcomes (ECO) COS 1 through 7 scale. At near-exit, the reports also indicate whether the child has made progress. The District had a rocky implementation of the tool with providers not completing the tool at prescribed intervals, thus impacting the number of entry and exits available for inclusion. Many of the initial challenges have been rectified and the District expects much improvement in FFY 2013.

The District continues to provide training and technical assistance to providers and staff on the new method of assessing progress on outcomes for children with IFSPs. The AEPS has shown a tremendous amount of potential, especially as the District prepares to implement the extended IFSP option (July 2014). It includes curricula that will assist service coordinators in including educational component of pre-literacy, language and pre-numeracy to the IFSP. In addition the District is working to align the policies, procedures and practices to utilize Part C child outcomes at exit as the entry data for preschool special education.

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2013:

No revisions to targets, improvement activities, timelines or resources are proposed for this indicator for FFY 2013.

Part C State Annual Performance Report (APR) for FFY 2012

Monitoring Priority: Early Intervention Services In Natural Environments

Indicator 4

Percent of families participating in Part C who report that early intervention services have helped the family:

- A. Know their rights;
- B. Effectively communicate their children's needs; and
- C. Help their children develop and learn.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Measurement:

- A. Percent = [(# of respondent families participating in Part C who report that early intervention services have helped the family know their rights) divided by the (# of respondent families participating in Part C)] times 100.
- B. Percent = [(# of respondent families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs) divided by the (# of respondent families participating in Part C)] times 100.
- C. Percent = [(# of respondent families participating in Part C who report that early intervention services have helped the family help their children develop and learn) divided by the (# of respondent families participating in Part C)] times 100.

Target Data and Actual Target Data for FFY 2012:

Target Data and Actual Target Data	FFY 2012 Target	FFY 2012 Actual	
<i>A. Know their rights</i>	92.5%	188/203	92.6%
<i>B. Effectively communicate their children's needs</i>	88.0%	191/203	94.1%
<i>C. Help their children develop and learn</i>	83.0%	195/203	96.1%

OSSE continues to utilize the DC EIP Family Outcomes Survey to collect data for this indicator. The Program has utilized the same distribution procedures for the past 6 years:

- Three hundred fifty (350) surveys were distributed (120 in Spanish) to families who participated in the program for 6 months or longer.
- Families were given multiple opportunities to complete the survey. Surveys were given to all families at the 6-month review or annual IFSP meeting and returned either by mail or given in a sealed envelope to the service coordinator. A follow-up call was made to families who did not return the survey to complete it by phone.

Service Coordinators and families were informed that surveys could be translated in other languages upon request. Each survey question is based on a 7 point scale, with 5 or greater being yes. The Program enters the surveys into an Excel spreadsheet that calculates the percentage. Hard copies of the survey are maintained by the Program office. For quality assurance, the data were checked by pulling a 10% sample and comparing the hard copy to the Excel spreadsheet.

For FFY 2012, the response rate for the survey was 58% with 203 surveys returned. The Program achieved this rate of return by requiring service coordination agencies to ensure that the surveys were distributed and phone calls conducted in the event the survey was not returned to DC EIP.

Representative Sample:

Based on the District's child count of 434, this sample size allows us to be 95% confident that 90% to 94% of respondents would say yes that the Program helped them know their rights; 92% to 96% would respond yes that the Program helped them effectively communicate their children's needs; and 94% to 98% would respond affirmatively that the Program helped their children develop and learn.

Demographics of the data:

The breakdown of respondents based on race as follows: 62% African American; 28% Hispanic; 10% White. The District's early intervention Program's population is 64% African American; 20% Hispanic; and 12% White. Surveys were received from every ward in the city, in proportion to the population served.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2012:

The District of Columbia saw slippage from FFY 2011 in each of the categories for this indicator; however, the targets for FFY 2012 were met. One factor in the increase in number of surveys returned, as well the District's ability to meet its targets for this indicator, is the new service coordination model. This model requires the ongoing service coordinator to attend the initial IFSP meeting. This establishes a face-to-face relationship between the family and the ongoing

service coordinator from the earliest point possible in the implementation of services. The Program anticipates it will continue to exceed its targets for this indicator, especially as the service coordinators and providers become more skilled at using the Assessment, Evaluation and Programming System (AEPS). The AEPS documents the child's progress towards his/her goals for the duration of their participation in DC EIP. This tool is parent friendly and through easy to read graphs and tables allows families to clearly see the effect early intervention services are having on their child's development.

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2013:

No revisions to targets, improvement activities, timelines or resources are proposed for this indicator for FFY 2013.

Part C State Annual Performance Report (APR) for FFY 2012

Monitoring Priority: Effective General Supervision Part C / Child Find

Indicator 5

Percent of infants and toddlers birth to 1 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement: Percent = [(# of infants and toddlers birth to 1 with IFSPs) divided by the (population of infants and toddlers birth to 1)] times 100 compared to national data.

FFY	Measurable and Rigorous Target
2012	1.50%

Actual Target Data for FFY 2012:

0.55%

The District of Columbia served 0.55% (48/8757) of its population under age one as compared to the national percentage served of 1.06%.[†] Data were collected via the SST database. The database produces an Excel spreadsheet identifying all children who had a service on an IFSP on December 1, 2012. These data were reported in the 618 Child Count on February 1, 2013.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2012:

The District's performance in FFY 2012 reflects slippage from FFY 2011 in the percentage (0.84%) of infants and toddlers less than one year of age in the District of Columbia identified as eligible for, and in receipt of, early intervention services. The District also failed to meet its target for FFY 2012 of 1.50%.

OSSE attributes slippage in its performance on this indicator to the new initial service

[†] U.S. Department of Education, Office of Special Education Programs, Data Analysis system (DANS), OMV #1820-0557: "Infants and Toddlers Receiving Early Intervention Services in Accordance with Part C," 2012.

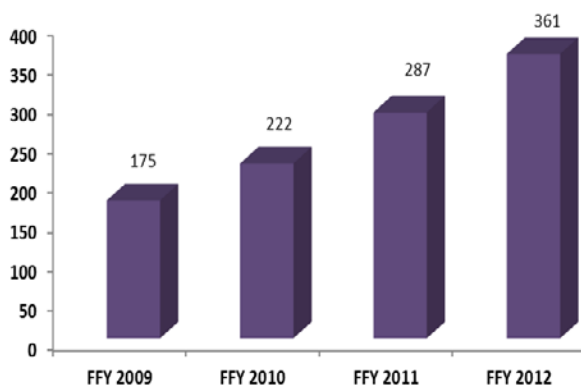
coordinator model that was implemented in FFY 2012. By removing the evaluation provider as the service coordinator, the District was able to provide better oversight and training on the justification for the use of informed clinical opinion to determine a child's eligibility for Part C services.

In an effort to increase referrals for children birth to age 1, DC EIP continues to engage its community partners in the identification and referral of children. Some of the trainings provided by DC EIP are:

- Early intervention Part C program overview and referral process training for staff at hospitals, child care centers, Child and Family Services Administration (CFSA), Medicaid MCOs, Department of Health (DOH), and primary referral sources; and
- Vision and hearing trainings for DC EIP's Child Find sites as well as Early Head Start centers.
- Training on understanding typical and atypical development for child care workers.
- Collaboration with other District agencies in the development of a Help Me Grow system to provide much needed linkages among programs that serve infants and toddlers.

In FFY 2013, the Program continues to see a steady increase in referrals due to the change in the District's eligibility criteria (July 2013) which now includes infants and toddlers with a 25%

DC EIP Referrals for Ages 0-1, by Year



delay in two or more areas of development. The District continues to promote the early intervention program with outreach efforts at health fairs; distribution of marketing materials; release of an improved website; and child development training for child care workers. Due to these ongoing trainings and public awareness activities, and the expansion of the eligibility criteria, the District is anticipating an increase in the number of children birth to age 1 receiving services.

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2013:

No revisions to targets, improvement activities, timelines or resources are proposed for this indicator for FFY 2013.

Part C State Annual Performance Report (APR) for FFY 2012

Monitoring Priority: Effective General Supervision Part C / Child Find**Indicator 6**

Percent of infants and toddlers birth to 3 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement: Percent = [(# of infants and toddlers birth to 3 with IFSPs) divided by the (population of infants and toddlers birth to 3)] times 100 compared to national data.

FFY	Measurable and Rigorous Target
2012	3.0%

Actual Target Data for FFY 2012:

1.92%

The District of Columbia served 1.92% (482/25,167) of its population birth to age three as compared to the national percentage served of 2.77%.[†] Data were collected via the SST database. The database produces an Excel spreadsheet identifying all children who had a service on an IFSP on December 1, 2012. These data were reported in the 618 Child Count on February 1, 2013.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2012:

The District's performance in FFY 2012 of 1.92% reflects slippage over FFY 2011 (2.04%) of infants and toddlers, birth to age three (3), in the District of Columbia identified as eligible for, and in receipt of, early intervention services. The District failed to meet its target for FFY 2012 of 3.0%.

[†] U.S. Department of Education, Office of Special Education Programs, Data Analysis system (DANS), OMV #1820-0557: "Infants and Toddlers Receiving Early Intervention Services in Accordance with Part C," 2012.

OSSE attributes slippage in its performance on this indicator to the increase in the total population of infants in the District (Source: U.S. Bureau of the Census). Although the Program is serving slightly more 0-3 year olds, its efforts did not keep pace with the population growth.

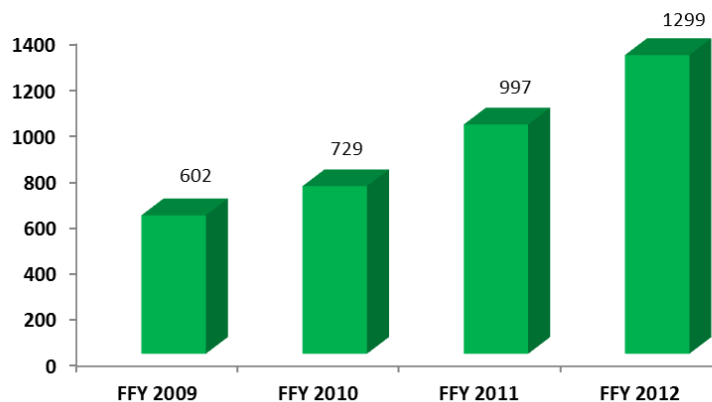
In an effort to increase referrals for children birth to age 3, DC EIP continues to engage its community partners in the identification and referral of children. Some of the trainings provided by DC EIP are:

- Early intervention Part C program overview and referral process training for staff at hospitals, child care centers, Child and Family Services Administration (CFSA), Medicaid MCOs, Department of Health (DOH), and primary referral sources; and
- Vision and hearing trainings for DC EIP's Child Find sites as well as Early Head Start centers.
- Training on understanding typical and atypical development for child care workers.
- Collaboration with other District agencies in the development of a Help Me Grow system to provide much needed linkages among programs that serve infants and toddlers.

In FFY 2013, the Program continues to see a steady increase in referrals due to the change in the District's eligibility criteria (July 2013) which now includes infants and toddlers with a 25% delay in two or more areas of development. The District continues to promote the early intervention program with outreach efforts at health fairs; distribution of marketing materials; release

of an improved website; and child development training for child care workers. Due to these ongoing trainings and public awareness activities, and the expansion of the eligibility criteria, the District is anticipating an increase in the number of children birth to age 3 receiving services.

DC EIP Referrals for Ages 0-3, by Year



Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2013:

No revisions to targets, improvement activities, timelines or resources are proposed for this indicator for FFY 2013.

Part C State Annual Performance Report (APR) for FFY 2012

Monitoring Priority: Effective General Supervision Part C / Child Find

Indicator 7

Percent of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent = [(# of infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting was conducted within Part C's 45-day timeline) divided by the (# of infants and toddlers with IFSPs evaluated and assessed for whom an initial IFSP meeting was required to be conducted)] times 100.

Account for untimely evaluations, assessments, and initial IFSP meetings, including the reasons for delays.

FFY	Measurable and Rigorous Target
2012	100%

Actual Target Data for FFY 2012:

92.3%

Infants Evaluated and Assessed and provided an Initial IFSP meeting Within Part C's 45-day timeline:

a. Number of infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting was conducted within Part C's 45-day timeline	410
b. Number of infants and toddlers with IFSPs evaluated and assessed for whom an initial IFSP meeting was required to be conducted	444

Percent of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline (Percent = [(a) divided by (b)] times 100)	92.3%
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For FFY 2012, 444 children (348 timely plus 62 with documented family reasons), or 92.3% (410/444*100), were counted as having timely evaluation, assessment and initial IFSP meetings. For the 37 children whose eligibility process was delayed, the number of days from referral to IFSP meeting ranged from 1 to 69.

Data for this indicator were collected from the early intervention records housed in the SST. The District utilized the full year of data for FFY 2012 (7/1/12- 6/30/13) to complete a compliance review for this indicator. Data were collected on a quarterly basis.

The following steps were taken to complete data collection and analysis for the 45-day timeline:

- The SST was used as the foundation tool for identifying all children who should have had an IFSP;
- SST produced an Excel spreadsheet that identified the children in the monitoring query who met the criteria of being eligible during that reporting year. Data are tracked until the IFSP meeting was held;
- For any child identified for whom the data system indicated the IFSP meeting was late, DC EIP staff reviewed the early intervention record, as well as the case notes, to determine the reason for delay; and
- A chart was developed to document information identifying the reasons for delay so that findings of noncompliance and the need for corrective action could be issued to the responsible entity.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2012:

The District demonstrated slippage for this indicator from 98.9% in FFY 2011 to 91.6% in FFY 2012. This unusual drop in the percentage of timely evaluations is a result of the implementation of some of the District's improvement activities. The following activities are directly related to the decline:

1. Implementation of the Initial Service Coordinator model;
2. Implementation of the Assessment, Evaluation, and Programming System (AEPS); and

3. Implementation of new contracts for 3 of 4 Medicaid Managed Care Organizations (MCOs).

The design of the new service coordination model increases awareness of the importance of services in the natural environment as well as the central role that the entire family plays in improving outcomes for infants and toddlers with disabilities or developmental delays. DC EIP hired 5 new Initial Service Coordinators who provide home visits to all families referred and who want to be considered for eligibility for early intervention services. Meetings are conducted with ISC staff twice monthly and hands-on training is provided in all aspects of service coordination.

In addition to the new service coordination model, the District also implemented the AEPS system for assessing all children eligible for services. Evaluation providers are responsible for conducting the assessment, and training for these providers is ongoing. The contracts for the District's MCOs ended this year, and new providers were selected. In an effort to ensure that they met their requirements, the DC EIP conducted monthly meetings to train the providers. Evaluation providers are also trained on a monthly basis.

A drill-down into the data was conducted to determine which programs were responsible for the delays, as well as the reasons for the delays. Findings of noncompliance were issued to the one agency responsible for this indicator. In FFY 2012 the DC Compliance and Tracking System (DC CATS) was implemented for Part C. The system details the data used to determine the findings, the extent of the noncompliance, and the IDEA citations related to the noncompliance were issued with specific corrective actions required. Agencies are required to upload evidence of correction directly to the DC CATS system. This effort streamlines the process of correction and verification of noncompliance.

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2013:

No revisions to targets, improvement activities, timelines or resources are proposed for this indicator for FFY 2013.

Part C State Annual Performance Report (APR) for FFY 2012

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Indicator 8A

The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday.:

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent = [(# of toddlers with disabilities exiting Part C who have an IFSP with transition steps and services at least 90 days, and at the discretion of all parties not more than nine months, prior to their third birthday) divided by the (# of toddlers with disabilities exiting Part C)] times 100.

Account for untimely transition planning, including the reasons for delays.

FFY	Measurable and Rigorous Target
2012	100%

Actual Target Data for FFY 2012:

82.7%

Indicator 8A - Children Exiting Part C Who Received Timely Transition Planning:

a. Number of children exiting Part C who have an IFSP with transition steps and services	224
b. Number of children exiting Part C	271

The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the lead agency has developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday. (Percent = [(a) divided by (b)] times 100)	82.7%
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Data were collected from the DC EIP Strong Start Tracker (SST) on a quarterly basis. The District utilized the full year of FFY 2012 (July 1, 2012 – June 30, 2013) to complete a compliance review for this indicator.

The following steps were taken to complete data collection and analysis for this indicator:

- The SST was used as the foundation tool for identifying all children who turned three (3) during the reporting year.
- The query included all Part C eligible children who turned three (3) years of age and exited the system during the reporting year. All children with active IFSPs were included in the monitoring activity.
- Each IFSP was reviewed to determine if transition planning was timely, and that transition steps and services were included.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2012:

The District is reporting slippage for 8A for this reporting period from 96.4% in FFY 2011 to 82.7% in FFY 2012. Slippage is attributed to the influx of new service coordinators. The new service coordination provider agencies experienced unexpected turnover in service coordinators leading to errors as staff were trained to understand the Part C requirements.

In order to address the noncompliance in FFY 2012, OSSE issued findings to four (4) early intervention providers for noncompliance on indicator 8A with specific corrective actions. Ongoing service coordinators were required to attend trainings on transition specific requirements and each provider agency was encouraged to review transition documents on a monthly basis.

The District continues its collaboration with the Part B 619 Program. A working group meets twice per month to review and update the process and to ensure that the transition guidelines are fulfilling the District's mission to ensure a smooth and effective transition to preschool special education services. In FFY 2013 the District is preparing to implement the extended IFSP option which will result in more opportunities for children to receive a smooth and effective transition as Part C services may continue until the first day of school following the child's fourth birthday.

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2013:

No revisions to targets, improvement activities, timelines or resources are proposed for this indicator for FFY 2013.

Part C State Annual Performance Report (APR) for FFY 2012

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Indicator 8B

The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for potentially eligible Part B preschool services. (Transition Notification)

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent = [(# of toddlers with disabilities exiting part C where notification (consistent with any opt-out policy adopted by the State) to the SEA and LEA occurred at least 90 days prior to their third birthday for toddlers potentially eligible for Part B preschool services) divided by the (# of toddlers with disabilities who were potentially eligible for Part B)] times 100.

Account for untimely transition planning, including the reasons for delays.

FFY	Measurable and Rigorous Target
2012	100%

Actual Target Data for FFY 2012:

100%

Children Exiting Part C Who Received Timely Transition Planning (Notification to LEA):

a. Number of children exiting Part C and potentially eligible for Part B where the notification to the LEA occurred	270
b. Number of children exiting Part C who were potentially eligible for Part B	270
The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has notified (consistent with any opt-out	100%

policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for potentially eligible Part B preschool services. (Transition Notification) (Percent = [(a) divided by (b)] times 100)	
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Data were collected from the DC EIP Strong Start Tracker (SST) on a quarterly basis. The District utilized the full year of FFY 2012 (July 1, 2012 – June 30, 2013) to complete a compliance review for this indicator.

The following steps were taken to complete data collection and analysis for this indicator:

- The SST was used as the foundation tool for identifying all children who turned three (3) during the reporting year.
- The SST produces a spreadsheet of all children potentially eligible for Part B services between the ages of 2 years, 3 months and 3 years of age. Beginning in May 2013, this list did not contain the names of children whose parents had opted out of referral to the LEA. In the 2 months (May-June 2013) of the reporting period in which opt out has been in effect, no families chose to exercise the option.
- On a monthly basis an email is sent from the SST to the LEA of record and the SEA to inform them the list of children potentially eligible for Part B is available. The SST records the date and time the list is accessed by the LEA and SEA as confirmation of receipt of the list.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2012:

The District is reporting progress for this indicator from 96.1% in FFY 2011 to 100% in FFY 2012. Progress is attributed to the new notification system and protocol. The District does not anticipate any challenges with this indicator due to the collaborative nature of the design.

The District continues in its collaboration with the Part B 619 Program. A working group meets twice per month to review and update the process and to ensure that the transition guidelines are fulfilling the District's mission to ensure a smooth and effective transition to preschool special education services. In FFY 13 the District is preparing to implement the extended IFSP option which will result in more opportunities for children to receive a smooth and effective transition as Part C services may continue until the first day of school following the child's fourth birthday. This notification system will be used for children between the ages of three (3) and four (4) who are exercising the extended option.

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2013:

Due to continued progress on this indicator, there are no revisions proposed for this indicator for FFY 2013.

Part C State Annual Performance Report (APR) for FFY 2012

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Indicator 8C

The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the lead agency has conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent = [(# of toddlers with disabilities exiting Part C where the transition conference occurred at least 90 days, and at the discretion of all parties, not more than nine months prior to the toddler's third birthday for toddlers potentially eligible for Part B) divided by the (# of toddlers with disabilities exiting Part C who were potentially eligible for Part B)] times 100.

Account for untimely transition conferences, including reasons for delays.

FFY	Measurable and Rigorous Target
2012	100%

Actual Target Data for FFY 2012:

95.6%

Children Exiting Part C Who Received Timely Transition Planning (Transition Conference):

a. Number of children exiting Part C and potentially eligible for Part B where the transition conference occurred timely	259
b. Number of children exiting Part C who were potentially eligible for Part B	271
The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the lead agency has conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services(Transition	95.6%

Conference) (Percent = [(a) divided by (b)] times 100)	
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Data were collected from the DC EIP Strong Start Tracker (SST) on a quarterly basis. The District utilized the full year of FFY 2012 (July 1, 2012 – June 30, 2013) to complete a compliance review for this indicator.

The following steps were taken to complete data collection and analysis for this indicator:

- The SST was used as the foundation tool for identifying all children who turned three (3) during the reporting year. The District considers all children eligible for Part C services to be potentially eligible for Part B preschool services.
- The District reviewed whether a timely transition conference occurred for children who exited the Part C system during the reporting year. The following activities were completed:
 - The SST was utilized to identify the date of each child's transition conference, where the meeting date is recorded and all documents are uploaded.
 - The early intervention record and case notes were reviewed for any child whose conference was not held timely [at least 90 days, and not more than nine months before the third (3rd) birthday].
 - Verification of the data was completed through a review of each transition conference plan document.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2012:

The District's performance showed progress from FFY 2011 (90.0%) to FFY 2012 (95.6%) for indicator 8C. The transition conferences of twelve (12) children were delayed, all due to failure of provider agency staff to schedule the meeting timely. Five (5) families declined to participate in the transition conference.

In order to address the noncompliance in FFY 2012, OSSE issued findings to two (2) early intervention provider agencies for noncompliance on indicator 8C with specific corrective actions. Agencies were required to ensure that the transition conference was held, although late; provide training to ongoing service coordinators; and to demonstrate 100% compliance with the specific regulatory requirement.

The District continues in its collaboration with the Part B 619 Program. A working group meets twice per month to review and update the process and to ensure that the transition guidelines are fulfilling the District's mission to ensure a smooth and effective transition to preschool special education services. In FFY 13 the District is preparing to implement the extended IFSP

option which will result in more opportunities for children to receive a smooth and effective transition as Part C services may continue until the first day of school following the child's fourth birthday.

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2013:

No revisions to targets, improvement activities, timelines or resources are proposed for this indicator for FFY 2013.

Part C State Annual Performance Report (APR) for FFY 2012

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 9

General supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects noncompliance as soon as possible but in no case later than one year from identification.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent of noncompliance corrected within one year of identification:

- a. # of findings of noncompliance.
- b. # of corrections completed as soon as possible but in no case later than one year from identification.

Percent = [(b) divided by (a)] times 100.

States are required to use the “Indicator 9 Worksheet” to report data for this indicator (see Attachment A).

FFY	Measurable and Rigorous Target
2012	100%

Actual Target Data for FFY 2012:

100%

Describe the process for selecting EIS programs for Monitoring:

In accordance with APR reporting requirements, OSSE reviews data in the DC EIP Strong Start Tracker (SST) for all Part C programs to identify noncompliance and assess progress toward federal and local targets. All providers who had service coordination duties (i.e., vendors who conduct multidisciplinary evaluations; provide ongoing service coordination; and the lead agency’s in-house staff) are monitored for compliance with the Part C requirements. Data are verified against the paper record which is also uploaded into the SST.

In FFY 2011, OSSE issued 22 findings of noncompliance identified from data analyzed to determine compliance for the FFY 2010 annual performance report (APR). Three (3) providers were responsible for the noncompliance. The breakdown of number of providers along with the number of findings issued is included in the Indicator C-9 Worksheet below. The letters of finding detailed the data used to determine the finding, the extent of the noncompliance, and the IDEA citations related to the noncompliance. In addition, the letters of finding also outlined the required corrective actions.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that Occurred for FFY 2012:

The District of Columbia is reporting progress in the timely correction of noncompliance as compared to FFY 2011. Due to the new processes that were implemented by OSSE's Quality Assurance and Monitoring Unit (QAM) during FFY 2011, the District has demonstrated 100% for FFY 2012 compared to 60% for FFY 2011. Data reviews continue to be conducted on a quarterly basis and total population pulls were used to determine adherence to the regulatory requirement for program level corrections. The District has always maintained 100% compliance with OSEP memo 09-02 with regard to child level corrections. The sampling procedure developed in FFY 2012 and described below for subsequent database reviews has allowed for the District to demonstrate compliance with the specific regulatory requirements. The District holds monthly meetings/trainings with service coordinators and early intervention providers which serve as an opportunity to address issues on an ongoing basis.

In FFY 2012 the District of Columbia Corrective Action Tracking System (DC CATS) incorporated Part C findings and has made tracking correction and verification of noncompliance a smooth and routine process. Findings are entered in the web-based system, and email is sent, along with the letter of finding, to the affected Programs who then have the ability to log in and upload evidence of correction. Upon review by a QAM monitor, the corrections then are routed for a final review by and sign-off of the QAM Director.

INDICATOR C-9 WORKSHEET				
Indicator/Indicator Clusters	General Supervision System Components	# of EIS Programs Issued Findings in FFY 2011 (7/1/11 through 6/30/12)	(a) # of Findings of noncompliance identified in FFY 2011 (7/1/11 through 6/30/12)	(b) # of Findings of noncompliance from (a) for which correction was verified no later than one year from identification
1. Percent of infants and toddlers with IFSPs who	Monitoring Activities: Self-	3	7	7

INDICATOR C-9 WORKSHEET				
Indicator/Indicator Clusters	General Supervision System Components	# of EIS Programs Issued Findings in FFY 2011 (7/1/11 through 6/30/12)	(a) # of Findings of noncompliance identified in FFY 2011 (7/1/11 through 6/30/12)	(b) # of Findings of noncompliance from (a) for which correction was verified no later than one year from identification
receive the early intervention services on their IFSPs in a timely manner	Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other			
	Dispute Resolution: Complaints, Hearings			
2. Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other			
	Dispute Resolution: Complaints, Hearings			
3. Percent of infants and toddlers with IFSPs who demonstrate improved outcomes	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other			
	Dispute Resolution: Complaints, Hearings			
4. Percent of families participating in Part C who report that early intervention services have helped the family	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other			

INDICATOR C-9 WORKSHEET				
Indicator/Indicator Clusters	General Supervision System Components	# of EIS Programs Issued Findings in FFY 2011 (7/1/11 through 6/30/12)	(a) # of Findings of noncompliance identified in FFY 2011 (7/1/11 through 6/30/12)	(b) # of Findings of noncompliance from (a) for which correction was verified no later than one year from identification
	Dispute Resolution: Complaints, Hearings			
5. Percent of infants and toddlers birth to 1 with IFSPs	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other			
6. Percent of infants and toddlers birth to 3 with IFSPs	Dispute Resolution: Complaints, Hearings			
7. Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	2	2	2
	Dispute Resolution: Complaints, Hearings			
8. The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other			

INDICATOR C-9 WORKSHEET				
Indicator/Indicator Clusters	General Supervision System Components	# of EIS Programs Issued Findings in FFY 2011 (7/1/11 through 6/30/12)	(a) # of Findings of noncompliance identified in FFY 2011 (7/1/11 through 6/30/12)	(b) # of Findings of noncompliance from (a) for which correction was verified no later than one year from identification
A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;	Dispute Resolution: Complaints, Hearings	2	5	5
8. The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other			
B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and	Dispute Resolution: Complaints, Hearings	2	3	3
8. The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other			

INDICATOR C-9 WORKSHEET				
Indicator/Indicator Clusters	General Supervision System Components	# of EIS Programs Issued Findings in FFY 2011 (7/1/11 through 6/30/12)	(a) # of Findings of noncompliance identified in FFY 2011 (7/1/11 through 6/30/12)	(b) # of Findings of noncompliance from (a) for which correction was verified no later than one year from identification
C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.	Dispute Resolution: Complaints, Hearings	2	5	5
OTHER AREAS OF NONCOMPLIANCE:	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other			
	Dispute Resolution: Complaints, Hearings			
OTHER AREAS OF NONCOMPLIANCE:	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other			
	Dispute Resolution: Complaints, Hearings			

INDICATOR C-9 WORKSHEET				
Indicator/Indicator Clusters	General Supervision System Components	# of EIS Programs Issued Findings in FFY 2011 (7/1/11 through 6/30/12)	(a) # of Findings of noncompliance identified in FFY 2011 (7/1/11 through 6/30/12)	(b) # of Findings of noncompliance from (a) for which correction was verified no later than one year from identification
OTHER AREAS OF NONCOMPLIANCE:	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other			
	Dispute Resolution: Complaints, Hearings			
			22	22
Sum the numbers down Column a and Column b				
Percent of noncompliance corrected within one year of identification =			(b) / (a) X 100 =	100.00%
(column (b) sum divided by column (a) sum) times 100.				

Timely Correction of FFY 2011 Findings of Noncompliance (corrected within one year from identification of the noncompliance):

1. Number of findings of noncompliance the State identified in FFY 2011 (the period from July 1, 2011, through June 30, 2012) (Sum of Column a on the Indicator C9 Worksheet)	22
2. Number of findings the State verified as timely corrected (corrected within one year from the date of notification to the EIS programs of the finding) (Sum of Column b on the Indicator C9 Worksheet)	22
3. Number of findings <u>not</u> verified as corrected within one year [(1) minus (2)]	0

Correction of FFY 2011 Findings of Noncompliance Not Timely Corrected (corrected more than one year from identification of the noncompliance) and/or Not Corrected:

1. Number of FFY 2011 findings not timely corrected (same as the number from (3) above)	0
2. Number of FFY 2011 findings the State has verified as corrected beyond the one-year timeline (“subsequent correction”)	0
3. Number of FFY 2011 findings <u>not</u> yet verified as corrected [(4) minus (5)]	0

Verification of Correction for findings of noncompliance identified in FFY 2011 (either timely or subsequent):***Indicator 1***

The providers were notified of noncompliance based on quarterly database reviews during FFY 2011. OSSE ensures that for these providers with identified noncompliance in FFY 2011, correction of noncompliance was verified within twelve months. OSSE has verified the correction of noncompliance for these providers who had noncompliance reflected in the data reported for this indicator in FFY 2011 by:

- (1) Ensuring that all children for whom data showed services not provided timely, did receive the services on their IFSP, although late, consistent with OSEP Memo 09-02; and
- (2) Ensuring that the timely service provision requirements (i.e., achieved 100% compliance) are being correctly implemented in 34 CFR §§303.340(c), 303.342(e), and 303.344(f)(1) based on a subsequent review of data from the DC EIP Strong Start Tracker (SST) database.

Indicator 7

The providers were notified of noncompliance based on quarterly database reviews during FFY 2011. OSSE ensures that for these providers with identified noncompliance in FFY 2011, correction of noncompliance was verified within twelve months. OSSE has verified the correction of noncompliance for these providers who had noncompliance reflected in the data reported for this indicator in FFY 2011 by:

- (1) Ensuring that the provider submitted documentation to show that they conducted the initial evaluation, assessment, and IFSP meeting, although late, for all children for whom the 45-day timeline was not met, consistent with OSEP Memo 09-02; and

- (2) Ensuring that the provider is correctly implementing the 45-day timeline requirements (i.e., achieved 100% compliance) in 34 CFR §§303.321(e)(2), 303.322(e)(1), and 303.342(a) based on a subsequent database review of children referred to the program to ensure timeliness for this indicator. The subsequent review revealed that all children had timely IFSP meetings. The data were collected through the DC EIP Strong Start Tracker (SST) database.

Indicator 8A

The providers were notified of noncompliance based on quarterly database reviews during FFY 2011. OSSE ensures that for these providers with identified noncompliance in FFY 2011, correction of noncompliance was verified within twelve months. OSSE has verified the correction of noncompliance for these providers who had noncompliance reflected in the data reported for this indicator in FFY 2011 by:

- (1) Ensuring that the provider has developed an IFSP with transition steps and services for each child, unless the child had already exited the Program, consistent with OSEP Memo 09-02; and
- (2) Ensuring that the provider is correctly implementing the IFSP transition content requirements (i.e., achieved 100% compliance) in 34 CFR §303.148(b)(4) and 303.344(h). The subsequent database review of children exiting DC EIP revealed that all children had steps and services on their IFSP. These data were collected using the Strong Start Tracker (SST) database.

Indicator 8B

The providers were notified of noncompliance based on quarterly database reviews during FFY 2011. OSSE ensures that for these providers with identified noncompliance in FFY 2011, correction of noncompliance was verified within twelve months. OSSE has verified the correction of noncompliance for these providers who had noncompliance reflected in the data reported for this indicator in FFY 2011 by:

- (1) Ensuring that the provider submitted documentation that showed that the Program has provided notification to the LEA for each child, unless the child is no longer within the jurisdiction of DC EIP, consistent with OSEP Memo 09-02; and
- (2) Ensuring that the provider is correctly implementing the LEA notification requirements (i.e., achieved 100% compliance) in IDEA section 637(a)(9)(A)(ii)(I) and 34 CFR §303.148(b)(1). These data were collected using the Strong Start Tracker (SST) database. The subsequent database review of children transitioning from the DC EIP revealed that a transition notification, via either an invitation to the LEA, or a referral to the LEA for those families who declined a transition conference, was sent to the LEA.

Indicator 8C

The providers were notified of noncompliance based on quarterly database reviews during FFY 2011. OSSE ensures that for these providers with identified noncompliance in FFY 2011, correction of noncompliance was verified within twelve months. OSSE has verified the correction of noncompliance for these providers who had noncompliance reflected in the data reported for this indicator in FFY 2011 by:

- (1) Conducting a transition conference, although late, for both children who were potentially eligible for Part B and whose transition conference was not timely, consistent with OSEP Memo 09-02; and
- (2) Correctly implementing the timely transition conference requirements in 34 CFR §303.148(b)(2)(i) (as modified by IDEA section 637(a)(9)(A)(ii)(II)) (i.e., achieved 100% compliance) based on a subsequent review of data from the DC EIP Strong Start Tracker (SST) database.

Describe the specific actions that the State took to verify the correction of findings of noncompliance identified in FFY 2011 (including any revisions to general supervision procedures, technical assistance provided and/or any enforcement actions that were taken):

Correction of noncompliance was verified by September 30, 2012, for all findings of noncompliance. In order to ensure that the program is correctly implementing the specific regulatory requirement, OSSE selected a subsequent sample of five child records that were not originally reviewed or generated a report from the SST and conducted a review that consists only of the elements upon which findings were previously made. A sample of the next five (5) records was pulled beginning in July 2012. For programs that did not demonstrate 100% compliance with the regulatory requirement, OSSE continued to conduct subsequent samples, of the next five (5) records until the program demonstrated 100% compliance with the specific regulatory requirement. For programs with fewer than five (5) records in a 3 month period, the total population was used to determine compliance. OSSE reviewed all documents submitted as required by the letters of finding; and provided training and technical assistance to early intervention providers. A program must demonstrate that all files in the subsequent review show 100% compliance with the specific regulatory requirement for the findings to be closed. OSSE ensures that for the sample records found out of compliance during the subsequent review, services for those children were provided, although late, consistent with Memo 09-02.

Based on the methodology described above, the following specific actions were taken to verify correction of noncompliance for each indicator:

Indicator 1:

- Review of the early intervention record for all children for whom the timely service provision requirements were not met to ensure that the children did receive the services on their IFSP, although late, consistent with OSEP Memo 09-02;

- Subsequent review of data from the SST to verify that the timely service provision requirements (i.e., achieved 100% compliance) in 34 CFR §§303.340(c), 303.342(e), and 303.344(f)(1) are being correctly implemented;

Indicator 7:

- Review of the early intervention record for all children for whom the 45-day timeline was not met to ensure that the provider conducted the initial evaluation, assessment, and IFSP meeting, although late, consistent with OSEP Memo 09-02;
- Subsequent database review of children referred to verify that providers were correctly implementing the 45-day timeline requirement (i.e. achieved 100% compliance) in 34 CFR §§303.321(e)(2), 303.322(e)(1), and 303.342(a). The subsequent review revealed that all children had timely IFSP meetings. These data were collected through the DC EIP Strong Start Tracker (SST);

Indicator 8A:

- Review of the early intervention record for all children for whom the IFSP did not have transition steps and services to ensure that the meeting was re-convened and the IFSP modified, unless the child had already exited the Program, consistent with OSEP Memo 09-02;
- Subsequent database review of children transitioning to verify that providers were correctly implementing the IFSP transition content requirements (i.e., achieved 100% compliance) in 34 CFR §303.148(b)(4) and 303.344(h);

Indicator 8B:

- Review of the early intervention record for all children for whom the Program had not provided notification to the LEA to ensure that a referral was made to the LEA, unless the child is no longer within the jurisdiction of DC EIP, consistent with OSEP Memo 09-02;
- Subsequent database review of children transitioning to verify that providers were correctly implementing the LEA notification requirements (i.e., achieved 100% compliance) in IDEA section 637(a)(9)(A)(ii)(I) and 34 CFR §303.148(b)(1);

Indicator 8C:

- Review of the early intervention record for all children for whom the timely transition conference requirements were not met, to ensure that the provider had conducted a transition conference, although late, for both children who were potentially eligible for Part B and whose transition conference was not timely, consistent with OSEP Memo 09-02;
- A subsequent database review has been conducted to verify that DC EIP is correctly implementing the timely transition conference requirements in 34 CFR §303.148(b)(2)(i) (as modified by IDEA section 637(a)(9)(A)(ii)(II)) (i.e., achieved 100% compliance) for children exiting DC EIP;

**Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines /
Resources for FFY 2013:**

No revisions to targets, improvement activities, timelines or resources are proposed for this indicator for FFY 2013.

Part C State Annual Performance Report (APR) for FFY 2012

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 12

Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures are adopted).

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement: Percent = (3.1(a) divided by 3.1) times 100.

FFY	Measurable and Rigorous Target
2012	100%

Actual Target Data for FFY 2012: N/A

The District did not receive any due process hearing requests related to alleged Part C IDEA violations in FFY 2012.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2012:

N/A

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2013:

Based on current performance on this indicator, no revisions are proposed for this indicator for FFY 2013.

Part C State Annual Performance Report (APR) for FFY 2012

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 13

Percent of mediations held that resulted in mediation agreements.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement: Percent = [(2.1(a)(i) + 2.1(b)(i)) divided by 2.1] times 100.

FFY	Measurable and Rigorous Target
2012	100%

Actual Target Data for FFY 2012: N/A

The District did not receive any requests for mediation related to alleged Part C IDEA violations in FFY 2012.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2012: N/A

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2013:

Based on current performance on this indicator, no revisions are proposed for this indicator for FFY 2013.

Part C State Annual Performance Report (APR) for FFY 2012

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 14

State reported data (618 and State Performance Plan and Annual Performance Report) are timely and accurate.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement: State reported data, including 618 data, State performance plan, and annual performance reports, are:

- a. Submitted on or before due dates (February 1 for child count and settings and November 1 for exiting and dispute resolution); and
- b. Accurate, including covering the correct year and following the correct measurement.

States are required to use the “Indicator 14 Data Rubric” for reporting data for this indicator (see Attachment B).

FFY	Measurable and Rigorous Target
2012	100%

Actual Target Data for FFY 2012: 100%

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2012:

The District’s data submissions for FFY 2012 were both timely and accurate. The District continues to perform at 100% for this indicator.

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2013:

Based on current performance on this indicator, no revisions are proposed for this indicator for FFY 2013.